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APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A  
FILING DATE.

APPLICATION NUMBER: 10/194,882

FILING DATE: July 12, 2002

RELATED PCT APPLICATION NUMBER: PCT/US03/21818



By Authority of the  
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*T. Wallace*  
T. WALLACE  
Certifying Officer

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07-15-2

**Docket No. 0575/66833/JPW/ADM**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

**July 12, 2002**

10/194882  
07/12/02

**S I R:**

Transmitted herewith for filing are the specification and claims of patent application of:

**Jingyue Ju**

for

**Invent r(s)**

# MULTIPLEX GENOTYPING USING SOLID PHASE CAPTURABLE DIDEOXYNUCLEOTIDES AND MASS SPECTROMETRY

**Title of Invention**

**Also enclosed are:**

XX 12 sheet(s) of       informal XX formal drawings.

Oath or declaration of Applicant(s).

           A power of attorney

An assignment of the invention to \_\_\_\_\_

## A Preliminary Amendment

XX A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27.

**The filing fee is calculated as follows:**

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE		
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	25-20	=	5	X	\$ 9	\$ 18	=	\$ 45	\$
Independent Claims	1 -3	=	0	X	\$42	\$84	=	\$ 0	\$
Multiple Dependent Claims Presented:        Yes <u>XX</u> No					\$140	\$280	=	\$ 0	\$
If th different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE			\$370	\$740
					TOTAL FEE			\$ 415	\$

Applicants: Jingyue Ju  
U.S. Serial No.: Not Yet Known  
Filed: Herewith

Letter of Transmittal

Page 2

XX A check in the amount of \$ 415.00 to cover the filing fee.

       Please charge Deposit Account No.                      in the amount of \$                     .

X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:

X Filing fees under 37 C.F.R. §1.16.

X Patent application processing fees under 37 C.F.R. §1.17.

       The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

X Three copies of this sheet are enclosed.

       A certified copy of previously filed foreign application No.                      filed in                      on                     .  
Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.

X Other (identify) Duplicate set of figures, Express Mail Certificate of Mailing bearing Label No. EL628787615US, dated July 12, 2002

Respectfully submitted,



John P. White  
Registration No. 28,678  
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Dkt. 0575/66833/JPW/ADM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jingyue Ju  
U.S. Serial No. : Not Yet Known  
Filed : Herewith  
For : MULTIPLEX GENOTYPING USING SOLID PHASE  
CAPTURABLE DIDEOXYNUCLEOTIDES AND MASS  
SPECTROMETRY

1185 Avenue of the Americas  
New York, New York 10036  
July 12, 2002

Assistant Commissioner for Patents  
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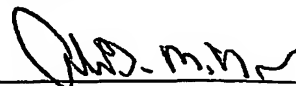
Sir:

STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(e) and submitted with the above-identified application contains the same information as the written "Sequence Listing" (4 pages) that is submitted as part of the application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,



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Dkt. 0575/66833/JPW/ADM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jingyue Ju  
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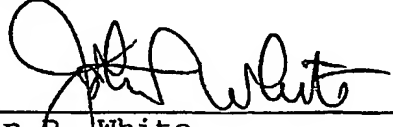
Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING  
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I hereby certify that this paper or fee is being deposited with the  
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Washington, D.C. 20231, ATTN: Box Patent Application.

Printed Name

Respectfully submitted,

  
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0575/66833/JPW/ADM

*Application  
for  
United States Letters Patent*

*To all whom it may concern:*

*Be it known that*      Jingyue Ju

*have invented certain new and useful improvements in*

**MULTIPLEX GENOTYPING USING SOLID PHASE CAPTURABLE  
DIDEOXYNUCLEOTIDES AND MASS SPECTROMETRY**

*of which the following is a full, clear and exact description.*

)

Dkt. 0575/66833/JPW/ADM

MULTIPLEX GENOTYPING USING SOLID PHASE CAPTURABLE  
DIDEOXYNUCLEOTIDES AND MASS SPECTROMETRY

5

Background Of The Invention

Throughout this application, various publications are referenced in parentheses. Citations for these  
10 references may be found at the end of the specification immediately preceding the claims. The disclosures of these publications in their entireties are hereby incorporated by reference into this application to more fully describe the state of the  
15 art to which this invention pertains.

Single nucleotide polymorphisms (SNPs), the most common genetic variations in the human genome, are important markers for identifying disease genes and  
20 for pharmacogenetic studies (1, 2). SNPs appear in the human genome with an average density of once every 1000-base pairs (3). To perform large-scale SNP genotyping, a rapid, precise and cost-effective method is required. Matrix-assisted laser  
25 desorption/ionization time-of-flight mass spectrometry (MALDI-TOF MS) (4) allows rapid and accurate sample measurements (5-7) and has been used in a variety of SNP detection methods including hybridization (8-10), invasive cleavage (11, 12) and  
30 single base extension (SBE) (5, 13-17). SBE is widely used for multiplex SNP analysis. In this method, primers designed to anneal immediately adjacent to a polymorphic site are extended by a single dideoxynucleotide that is complementary to the  
35 nucleotide at the variable site. By measuring the

-2-

mass of the resulting extension product, a particular  
SNP can be identified. Current SBE methods to  
perform multiplex SNP analysis using MS require  
unambiguous simultaneous detection of a library of  
5 primers and their extension products. However,  
limitations in resolution and sensitivity of MALDI-  
TOF MS for longer DNA molecules make it difficult to  
simultaneously measure DNA fragments over a large  
mass range (6). The requirement to measure both  
10 primers and their extension products in this range  
limits the scope of multiplexing.

A high fidelity DNA sequencing method has been  
developed which uses solid phase capturable  
15 biotinylated dideoxynucleotides (biotin-ddNTPs) by  
detection with fluorescence (18) or mass spectrometry  
(19), eliminating false terminations and excess  
primers. Combinatorial fluorescence energy transfer  
tags and biotin-ddNTPs have also been used to detect  
20 SNPs (20).

False stops or terminations occur when a  
deoxynucleotide rather than a dideoxynucleotide  
terminates a sequencing fragment. It has been shown  
25 that false stops and primers which have dimerized can  
produce peaks in the mass spectra that can mask the  
actual results preventing accurate base  
identification (21).

30 The present application discloses an approach using  
solid phase capturable biotin-ddNTPs in SBE for  
multiplex genotyping by MALDI-TOF MS. In this method  
primers that have different molecular weights and



-3-

that are specific to the polymorphic sites in the DNA template are extended with biotin-ddNTPs by DNA polymerase to generate 3'-biotinylated DNA extension products. The 3'-biotinylated DNAs are then captured  
5 by streptavidin-coated magnetic beads, while the unextended primers and other components in the reaction are washed away. The pure DNA extension products are subsequently released from the magnetic beads, for example by denaturing the biotin-  
10 streptavidin interaction with formamide, and analyzed with MALDI-TOF MS. The nucleotide at the polymorphic site is identified by analyzing the mass difference between the primer extension product and an internal mass standard added to the purified DNA products.  
15 Since the primer extension products are isolated prior to MS analysis, the resulting mass spectrum is free of non-extended primer peaks and their associated dimers, which increases the accuracy and scope of multiplexing in SNP analysis. The solid  
20 phase purification system also facilitates desalting of the captured oligonucleotides. Desalting is critical in sample preparation for MALDI-TOF MS measurement since alkaline and alkaline earth salts can form adducts with DNA fragments that interfere  
25 with accurate peak detection (21).

-4-

Summary Of The Invention

This invention is directed to a method for determining the identity of a nucleotide present at a predetermined site in a DNA whose sequence immediately 3' of such predetermined site is known which comprises:

- (a) treating the DNA with an oligonucleotide primer whose sequence is complementary to such known sequence so that the oligonucleotide primer hybridizes to the DNA and forms a complex in which the 3' end of the oligonucleotide primer is located immediately adjacent to the predetermined site in the DNA;
- (b) simultaneously contacting the complex from step (a) with four different labeled dideoxynucleotides, in the presence of a polymerase under conditions permitting a labeled dideoxynucleotide to be added to the 3' end of the primer so as to generate a labeled single base extended primer, wherein each of the four different labeled dideoxynucleotides (i) is complementary to one of the four nucleotides present in the DNA and (ii) has a molecular weight which can be distinguished from the molecular weight of the other three labeled dideoxynucleotides using mass spectrometry; and
- (c) determining the difference in molecular weight between the labeled single base extended primer and the oligonucleotide

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primer so as to identify the  
dideoxynucleotide incorporated into the  
single base extended primer and thereby  
determine the identity of the nucleotide  
5 present at the predetermined site in the  
DNA.

In one embodiment, the method further comprises after  
step (b) the steps of:

- 10 (i) contacting the labeled single base extended  
primer with a surface coated with a  
compound that specifically interacts with a  
chemical moiety attached to the  
dideoxynucleotide by a linker so as to  
15 thereby capture the extended primer on the  
surface; and
- (ii) treating the labeled single base extended  
primer so as to release it from the  
surface.

20

In one embodiment, the method further comprises after  
step (i) the step of treating the surface to remove  
primers that have not been extended by a labeled  
dideoxynucleotide.

25

Brief Description Of The Figures

Figure 1: Scheme of single base extension for  
multiplex SNP analysis using biotin-ddNTPs and MALDI-  
TOF MS. Primers that anneal immediately next to the  
polymorphic sites in the DNA template are extended by  
DNA polymerase of a biotin-ddNTP in a sequence-  
specific manner. After solid phase capture and  
isolation of the 3'-biotinylated DNA extension  
fragments, MALDI-TOF MS was used to analyze these DNA  
products to yield a mass spectrum. From the relative  
mass of each extended primer, compared to the mass of  
an internal standard, the nucleotide at the  
polymorphic site is identified.

Figure 2. Multiplex SNP genotyping mass spectra  
generated using biotin-ddNTPs. Inset is a magnified  
view of heterozygote peaks. Masses of the extension  
product in reference to the internal mass standard  
were listed on each single base extension peak. The  
mass values in parenthesis indicate the mass  
difference between the extension products and the  
corresponding primers. (A) Detection of six  
nucleotide variations from synthetic DNA templates  
mimicking mutations in the p53 gene. Four homozygous  
(T, G, C and C) and one heterozygous (C/A) genotypes  
were detected. (B) Detection of two heterozygotes  
(A/G and C/G) in the human HFE gene.

Figure 3: Structure of four mass tagged biotinylated  
ddNTPs. Any of the four ddNTPs (ddATP, ddCTP, ddGTP,  
ddTTP) can be used with any of the illustrated  
linkers.

**Figure 4:** Synthesis scheme for mass tag linkers. For illustrative purposes, the linkers are labeled to correspond to the specific ddNTP with which they are shown coupled in Figures 3, 5, 7, 8 and 9. However,

(i)  $(CF_3CO)_2O$ ; (ii) Disuccinimidylcarbonate/diisopropylethylamine; (iii) Propargyl amine.

10

**Figure 5:** The synthesis of ddATP-Linker-II-11-Biotin.

(i) Linker II, tetrakis(triphenylphosphine) palladium(0); (ii)  $POCl_3$ ,  $Bn_4N^+$  pyrophosphate; (iii)  $NH_4OH$ ; (iv) Sulfo-NHS-LC-Biotin.

15

**Figure 6:** DNA products are purified by a streptavidin coated porous silica surface. Only the biotinylated fragments are captured. These fragments are then cleaved by light irradiation ( $h\nu$ ) to release the captured fragments, leaving the biotin moiety still bound to the streptavidin.

20

**Figure 7:** Mechanism for the cleavage of photocleavable linkers.

25

**Figure 8:** The structures of ddNTPs linked to photocleavable (PC) biotin. Any of the four ddNTPs (ddATP, ddCTP, ddGTP, ddTTP) can be used with any of the shown linkers.

30

**Figure 9:** The synthesis of ddATP-Linker-II-PC-Biotin. PC = photocleavable.

Figure 10: Schematic for capturing a DNA fragment terminated with a dideoxynucleoside monophosphate on a surface. The dideoxynucleoside monophosphate (ddNMP) which is on the 3' end of the DNA fragment is attached via a linker to a chemical moiety "X" which interacts with a compound "Y" on the surface to capture the DNA fragment terminated with the ddNMP. The DNA fragment can be freed from the surface either by disrupting the interaction between chemical moiety X and compound Y (lower scheme) or by cleaving the linker (upper scheme).

Figure 11A-11C: Schematic of a high throughput channel based purification system. Sample solutions can be pushed back and forth between the two plates through glass capillaries and the streptavidin coated channels in the chip. The whole chip can be irradiated to cleave the samples after immobilization.

Figure 12: The synthesis of streptavidin coated porous surface.

Detailed Description Of The Invention

The following definitions are presented as an aid in understanding this invention.

5

The standard abbreviations for nucleotide bases are used as follows: adenine (A), cytosine (C), guanine (G), thymine (T), and uracil (U).

10

A nucleotide analogue refers to a chemical compound that is structurally and functionally similar to the nucleotide, i.e. the nucleotide analogue can be recognized by polymerase as a substrate. That is, for example, a nucleotide analogue comprising adenine or an analogue of adenine should form hydrogen bonds with thymine, a nucleotide analogue comprising C or an analogue of C should form hydrogen bonds with G, a nucleotide analogue comprising G or an analogue of G should form hydrogen bonds with C, and a nucleotide analogue comprising T or an analogue of T should form hydrogen bonds with A, in a double helix format.

15

20

This invention is directed to a method for determining the identity of a nucleotide present at a predetermined site in a DNA whose sequence immediately 3' of such predetermined site is known which comprises:

25

- (a) treating the DNA with an oligonucleotide primer whose sequence is complementary to such known sequence so that the oligonucleotide primer hybridizes to the DNA and forms a complex in which the 3' end of the oligonucleotide primer is located

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-10-

immediately adjacent to the predetermined site in the DNA;

- (b) simultaneously contacting the complex from step (a) with four different labeled dideoxynucleotides, in the presence of a polymerase under conditions permitting a labeled dideoxynucleotide to be added to the 3' end of the primer so as to generate a labeled single base extended primer, wherein each of the four different labeled dideoxynucleotides (i) is complementary to one of the four nucleotides present in the DNA and (ii) has a molecular weight which can be distinguished from the molecular weight of the other three labeled dideoxynucleotides using mass spectrometry; and
- (c) determining the difference in molecular weight between the labeled single base extended primer and the oligonucleotide primer so as to identify the dideoxynucleotide incorporated into the single base extended primer and thereby determine the identity of the nucleotide present at the predetermined site in the DNA.

In one embodiment, each of the four labeled dideoxynucleotides comprises a chemical moiety attached to the dideoxynucleotide by a different linker which has a molecular weight different from that of each other linker.



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In one embodiment, the method further comprises after step (b) the steps of:

- 5 (i) contacting the labeled single base extended primer with a surface coated with a compound that specifically interacts with a chemical moiety attached to the dideoxynucleotide by a linker so as to thereby capture the extended primer on the surface; and
- 10 (ii) treating the labeled single base extended primer so as to release it from the surface.

15 In a further embodiment, the method comprises after step (i) the step of treating the surface to remove primers that have not been extended by a labeled dideoxynucleotide and any non-captured component.

20 In one embodiment of the method step (c) comprises determining the difference in mass between the labeled single base extended primer and an internal mass calibration standard added to the extended primer. In one embodiment, the internal mass standard is 5'-TTTTCTTTTCT-3' (SEQ ID NO: 5) (MW =  
25 3855 Da).

30 In one embodiment, the chemical moiety is attached via a different linker to different dideoxynucleotides. In one embodiment, the different linkers increase mass separation between different labeled single base extended primers and thereby increase mass spectrometry resolution.

In one embodiment, the dideoxynucleotide is selected from the group consisting of 2',3'-dideoxyadenosine 5'-triphosphate (ddATP), 2',3'-dideoxyguanosine 5'-triphosphate (ddGTP), 2',3'-dideoxycytidine 5'-triphosphate (ddCTP), and 2',3'-dideoxythymidine 5'-triphosphate (ddTTP).

In one embodiment, the step of releasing the labeled single base extended primer from the surface comprises disrupting the interaction between the chemical moiety attached by the linker to the dideoxynucleotide and the compound on the surface. In different embodiments, the interaction is disrupted by a means selected from the group consisting of one or more of a physical means, a chemical means, a physical chemical means, heat, and light. In one embodiment, the interaction is disrupted by light. In one embodiment, the interaction is disrupted by ultraviolet light. In different embodiments, the interaction is disrupted by ammonium hydroxide, formamide, or a change in pH ( $-\log H^+$  concentration).

-13-

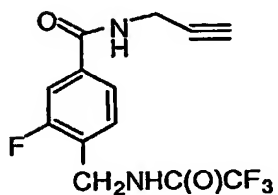
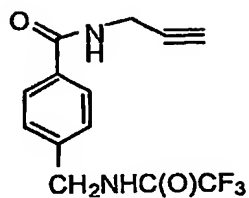
more rings, or a structure comprising a chain and one or more rings. In different embodiments, the dideoxynucleotide comprises a cytosine or a thymine with a 5-position, or an adenine or a guanine with a 7-position, and the linker is attached to the dideoxynucleotide at the 5-position of cytosine or thymine or at the 7-position of adenine or guanine.

In different embodiments, the step of releasing the labeled single base extended primer from the surface comprises cleaving the linker between the chemical moiety and the dideoxynucleotide. In different embodiments, the linker is cleaved by a means selected from the group consisting of one or more of a physical means, a chemical means, a physical chemical means, heat, and light. In one embodiment, the linker is cleaved by light. In one embodiment, the linker is cleaved by ultraviolet light. In different embodiments, the linker is cleaved by ammonium hydroxide, formamide, or a change in pH ( $-\log H^+$  concentration).

In one embodiment, the linker comprises a derivative of 4-aminomethyl benzoic acid. In one embodiment, the linker comprises a 2-nitrobenzyl group or a derivative of a 2-nitrobenzyl group. In one embodiment, the linker comprises one or more fluorine atoms.

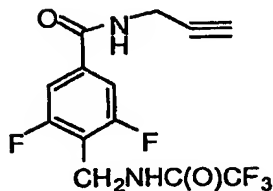
-14-

In one embodiment, the linker is selected from the group consisting of:



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and



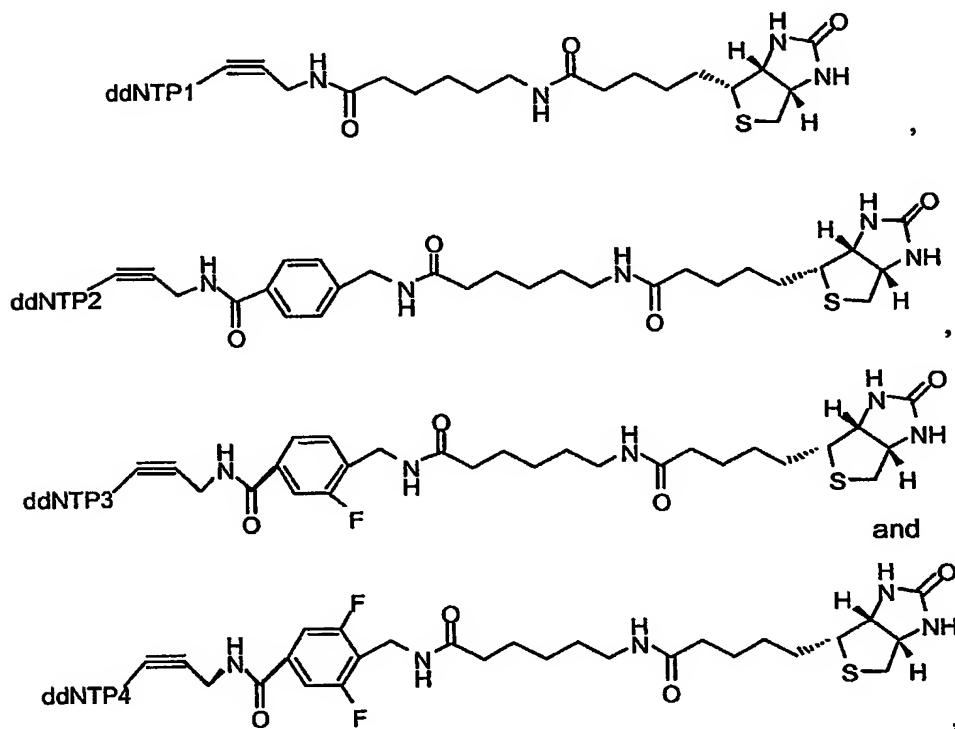
10 In one embodiment, a plurality of different linkers is used to increase mass separation between different labeled single base extended primers and thereby increase mass spectrometry resolution.

15 In one embodiment, the chemical moiety comprises biotin, the labeled dideoxynucleotide is a biotinylated dideoxynucleotide, the labeled single

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-16-

In one embodiment, the biotinylated dideoxynucleotide is selected from the group consisting of:

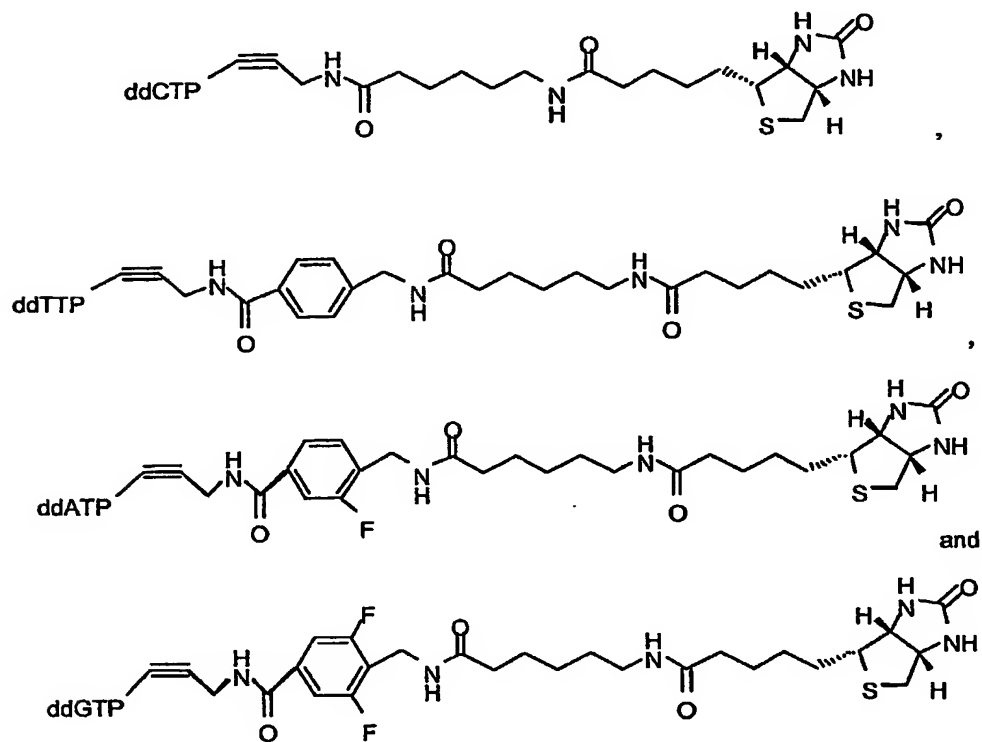


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wherein ddNTP1, ddNTP2, ddNTP3, and ddNTP4 represent four different dideoxynucleotides, or their analogues.

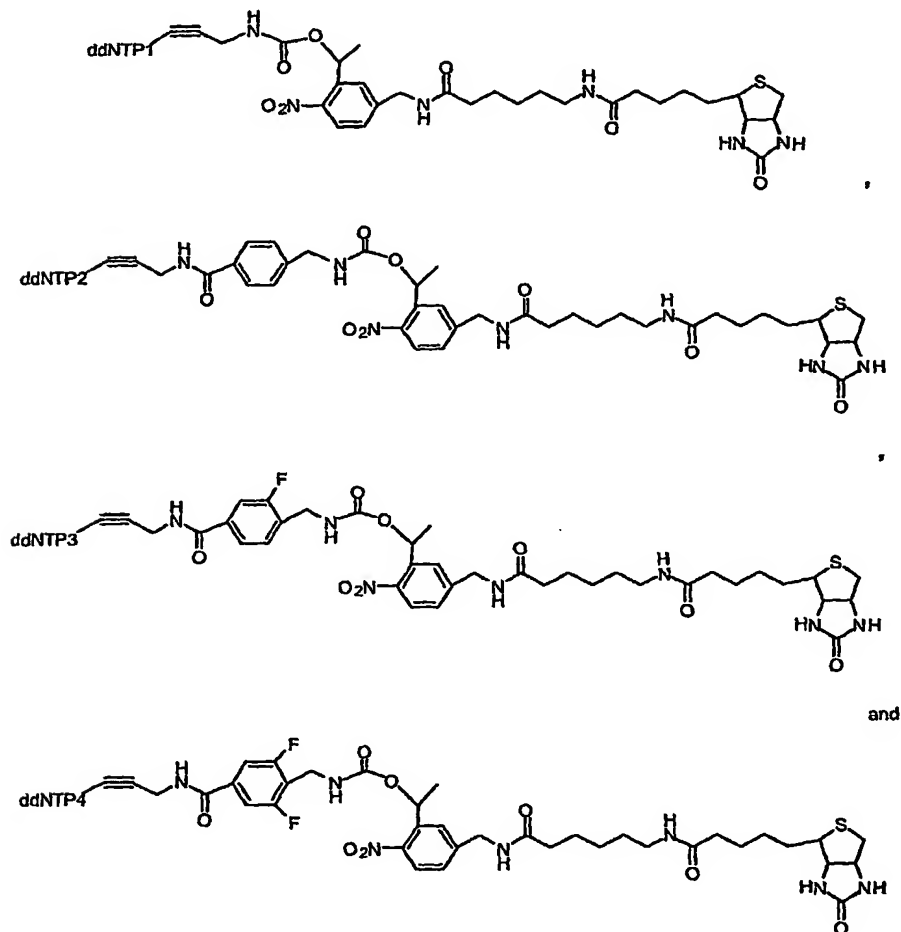
-17-

In one embodiment, the biotinylated dideoxynucleotide is selected from the group consisting of:



-18-

In one embodiment, the biotinylated dideoxynucleotide is selected from the group consisting of:



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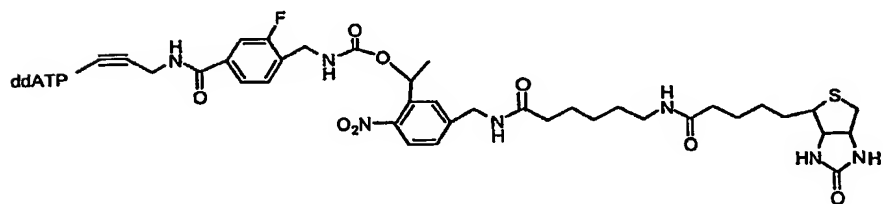
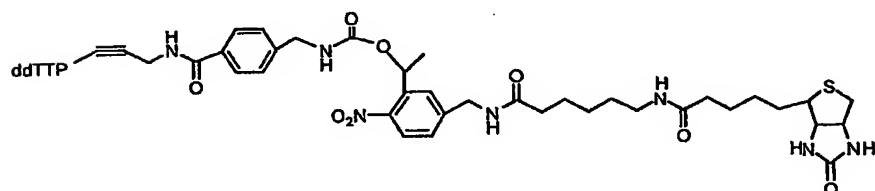
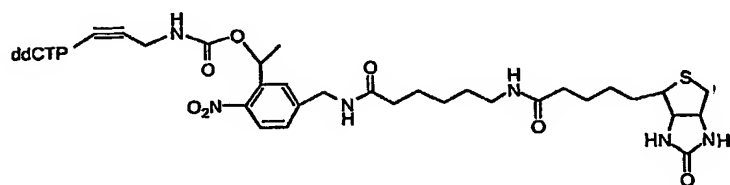
wherein ddNTP1, ddNTP2, ddNTP3, and ddNTP4 represent four different dideoxynucleotides or their analogues.

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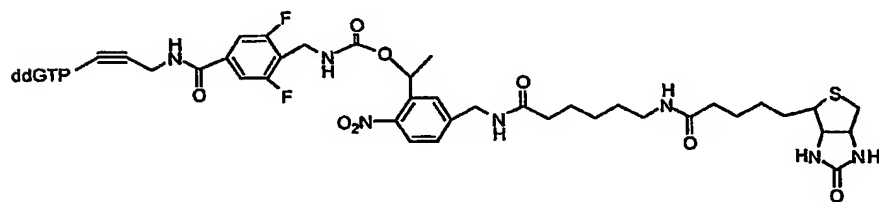


-19-

In one embodiment, the biotinylated dideoxynucleotide is selected from the group consisting of:



and



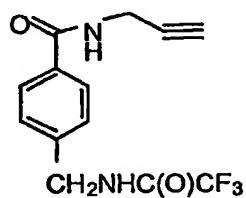


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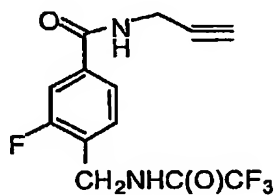
triphosphate (ddGTP), 2',3'-dideoxycytidine 5'-triphosphate (ddCTP), and 2',3'-dideoxythymidine 5'-triphosphate (ddTTP).

- 5 In one embodiment, the linker comprises one or more fluorine atoms.

In one embodiment, the linker is selected from the group consisting of:

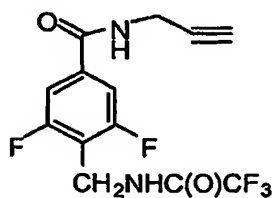


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and

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In different embodiments, the linker can comprise a chain structure, or a structure comprising one or more rings, or a structure comprising a chain and one or more rings.

In different embodiments, the linker is cleavable by a means selected from the group consisting of one or more of a physical means, a chemical means, a physical chemical means, heat, and light. In one embodiment, the linker is cleavable by ultraviolet light. In different embodiments, the linker is cleavable by ammonium hydroxide, formamide, or a change in pH ( $-\log H^+$  concentration).

In different embodiments of the linker, the chemical moiety comprises biotin, streptavidin or related analogues that have affinity with biotin, phenylboronic acid, salicylhydroxamic acid, an antibody, or an antigen.

In different embodiments, the dideoxynucleotide comprises a cytosine or a thymine with a 5-position, or an adenine or a guanine with a 7-position, and the linker is attached to the 5-position of cytosine or thymine or to the 7-position of adenine or guanine.

The invention provides for the use of any of the linkers described herein in single nucleotide polymorphism detection using mass spectrometry, wherein the linker increases mass separation between different dideoxynucleotides and increases mass spectrometry resolution.

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Labeled dideoxynucleotides are provided which  
comprise a chemical moiety attached via a linker to a  
5-position of cytosine or thymine or to a 7-position  
5 of adenine or guanine.

In one embodiment, the dideoxynucleotide is selected  
from the group consisting of 2',3'-dideoxyadenosine  
5'-triphosphate (ddATP), 2',3'-dideoxyguanosine 5'-  
10 triphosphate (ddGTP), 2',3'-dideoxycytidine 5'-  
triphosphate (ddCTP), and 2',3'-dideoxythymidine 5'-  
triphosphate (ddTTP).

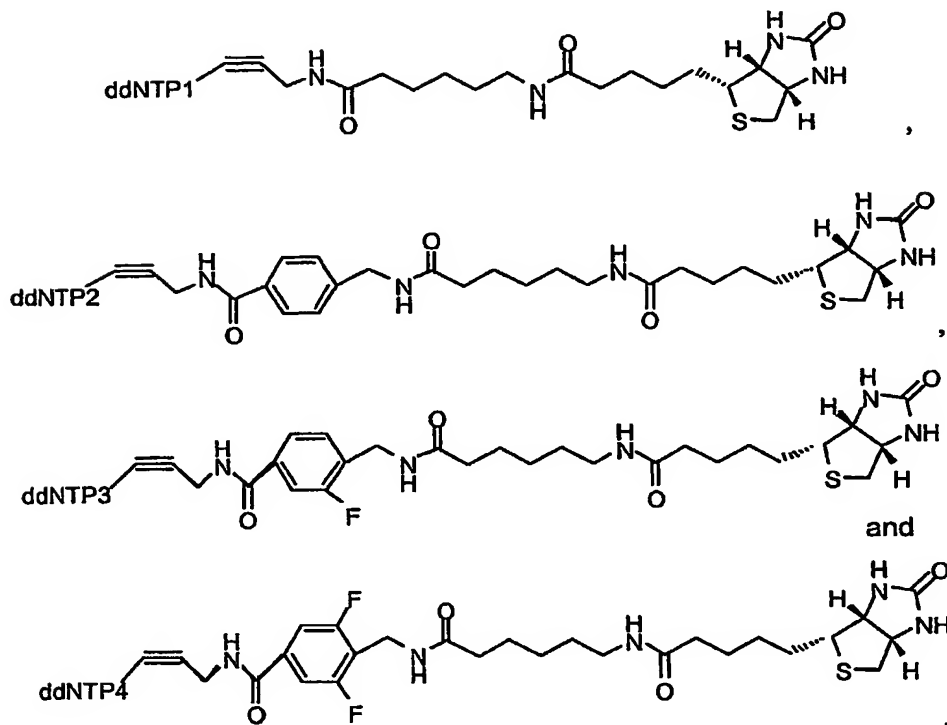
In different embodiments, the linker can comprise a  
15 chain structure, or a structure comprising one or  
more rings, or a structure comprising a chain and one  
or more rings. In different embodiments, the linker  
is cleavable by a means selected from the group  
consisting of one or more of a physical means, a  
20 chemical means, a physical chemical means, heat, and  
light. In one embodiment, the linker is cleavable by  
ultraviolet light. In different embodiments, the  
linker is cleavable by ammonium hydroxide, formamide,  
or a change in pH  $-\log [H^+ \text{ concentration}]$ .

25 In different embodiments of the labeled  
dideoxynucleotide, the chemical moiety comprises  
biotin, streptavidin, phenylboronic acid,  
salicylhydroxamic acid, an antibody, or an antigen.

30

-24-

In one embodiment, the labeled dideoxynucleotide is selected from the group consisting of:

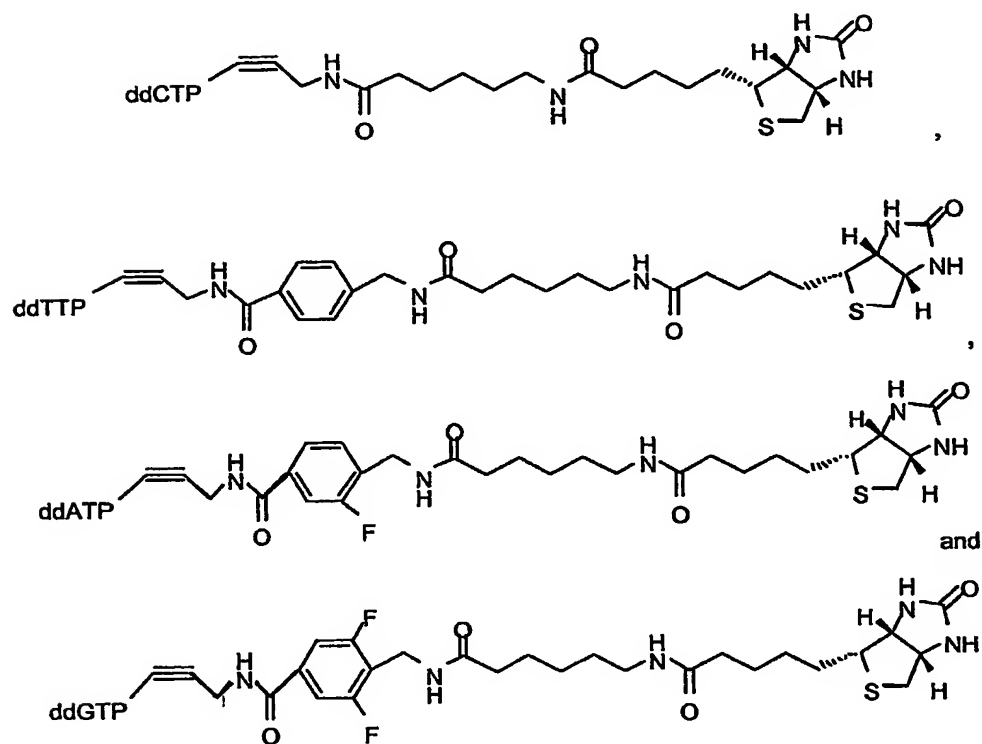


5

wherein ddNTP1, ddNTP2, ddNTP3, and ddNTP4 represent four different dideoxynucleotides, or their analogues.

-25-

In one embodiment, the labeled dideoxynucleotide is selected from the group consisting of:

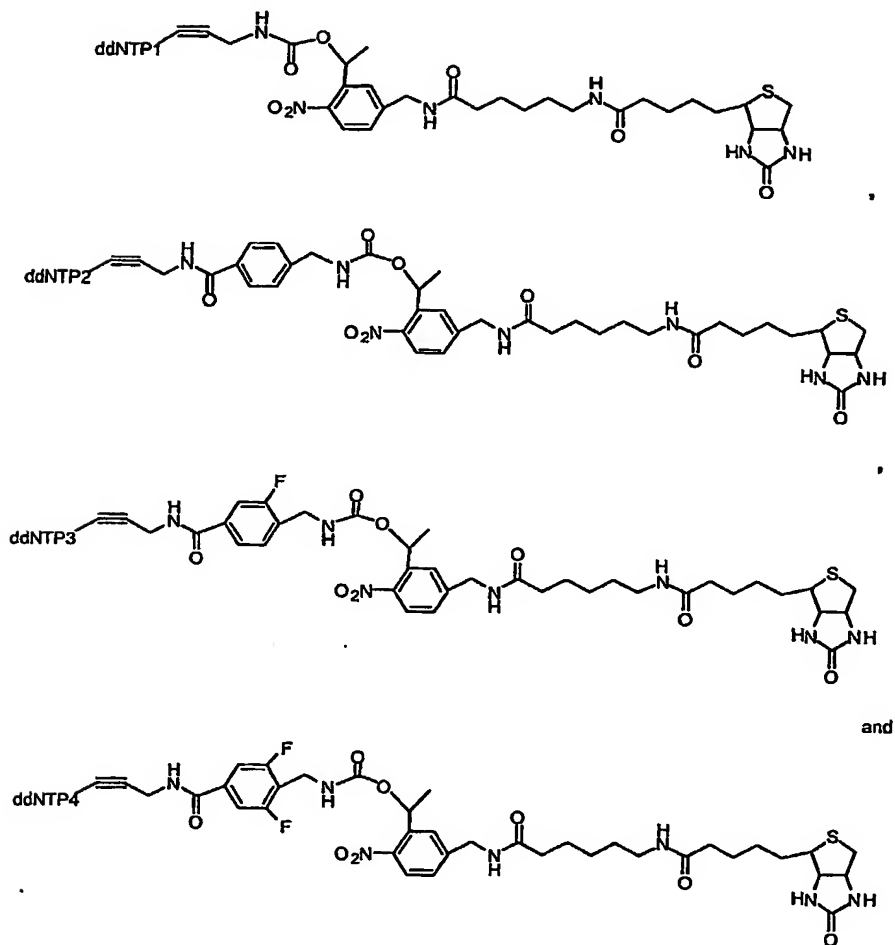


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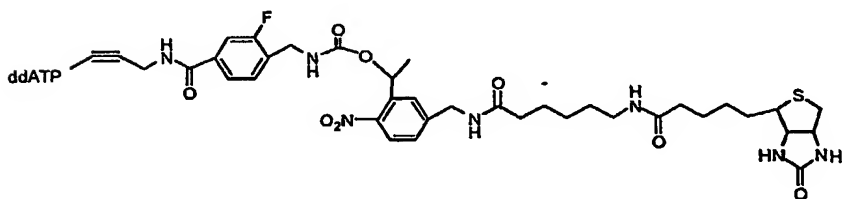
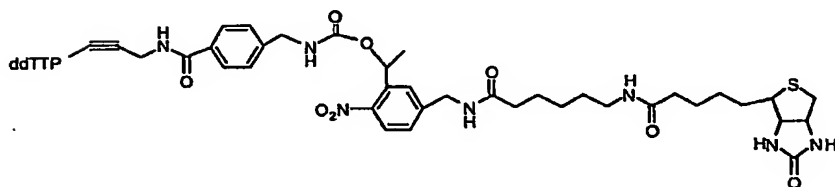
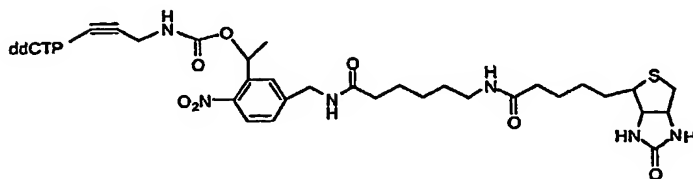


10            wherein ddNTP1, ddNTP2, ddNTP3, and ddNTP4  
             represent four different dideoxynucleotides, or  
             their analogues.

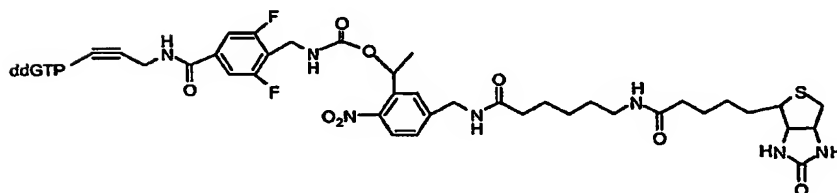


-27-

In one embodiment, the labeled dideoxynucleotide is selected from the group consisting of:



and



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In one embodiment, the labeled dideoxynucleotide has a molecular weight of 844, 977, 1,017, or 1,051. In one embodiment, the labeled dideoxynucleotide has a molecular weight of 1,049, 1,182, 1,222, or 1,257. Other molecular weights with sufficient mass differences to allow resolution in mass spectrometry can also be used.

10 In one embodiment the mass spectrometry is matrix-assisted laser desorption/ionization time-of-flight mass spectrometry.

15 A system is provided for separating a chemical moiety from other components in a sample in solution, which comprises:

- 20 (a) a channel coated with a compound that specifically interacts with the chemical moiety at the 3' end of the DNA fragment, wherein the channel comprises a plurality of ends;
- (b) a plurality of wells each suitable for holding the sample;
- (c) a connection between each end of the channel and a well; and
- 25 (d) a means for moving the sample through the channel between wells.

30 In one embodiment of the system, the interaction between the chemical moiety and the compound coating the surface is a biotin-streptavidin interaction, a phenylboronic acid-salicylhydroxamic acid interaction, or an antigen-antibody interaction.

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In one embodiment, the chemical moiety is a biotinylated moiety and the channel is a streptavidin-coated silica glass channel. In one embodiment, the biotinylated moiety is a biotinylated DNA fragment.

In one embodiment, the chemical moiety can be freed from the surface by disrupting the interaction between the chemical moiety and the compound coating the surface. In different embodiments, the interaction can be disrupted by a means selected from the group consisting of one or more of a physical means, a chemical means, a physical chemical means, heat, and light. In different embodiments, the interaction can be disrupted by ammonium hydroxide, formamide, or a change in pH  $-\log [H^+ \text{ concentration}]$ .

In one embodiment, the chemical moiety is attached via a linker to another chemical compound. In one embodiment, the other chemical compound is a DNA fragment. In one embodiment, the linker is cleavable by a means selected from the group consisting of one or more of a physical means, a chemical means, a physical chemical means, heat, and light. In one embodiment, the channel is transparent to ultraviolet light and the linker is cleavable by ultraviolet light. Cleaving the linker frees the DNA fragment or other chemical compound from the chemical moiety which remains captured on the surface.

30

Multi-channel systems are provided which comprise a plurality of any of the single channel systems disclosed herein. In one embodiment, the channels are

- 30 -

in a chip. In one embodiment, the multi-channel system comprises 96 channels in a chip. Chips can also be used with fewer or greater than 96 channels.

5 The invention provides for the use of any of the separation systems described herein for single nucleotide polymorphism detection.

10 This invention will be better understood from the Experimental Details which follow. However, one skilled in the art will readily appreciate that the specific methods and results discussed are merely illustrative of the invention as described more fully in the claims which follow thereafter.

## Experimental Details

### **I. Materials and Methods**

**PCR amplification.** DNA templates containing the polymorphic sites for the human hereditary hemochromatosis gene *HFE* were amplified from genomic DNA in a total volume of 10  $\mu$ l, that contains 20 ng of genomic DNA, 500 pmol each of forward (C282Y; 5'-CTACCCCAGAACATCACC-3' (SEQ ID NO: 1), H63D; 5'-GCACTACCTCTTCATGGGTGCC-3' (SEQ ID NO: 2)) and reverse (C282Y; 5'-CATCAGTCACATACCCCA-3' (SEQ ID NO: 3), H63D; 5'-CAGTGAACATGTGATCCCACCC-3' (SEQ ID NO: 4)) primers, 25  $\mu$ M dNTPs (Amersham Biosciences, Piscataway, NJ), 1 U Taq polymerase (Life Technologies, Rockville, MD), and 1x PCR buffer (50 mM KCl, 1.5 mM MgCl<sub>2</sub>, 10 mM Tris-HCl). PCR amplification reactions were started at 94 °C for 4 min, followed by 45 cycles of 94 °C for 30 s, 59 °C for 30 s and 72 °C for 10 s, and finished with an additional extension step of 72 °C for 6 min. Excess primers and dNTPs were degraded by adding 2 U shrimp alkaline phosphatase (Roche Diagnostics, Indianapolis, IN) and *E. Coli* exonuclease I (Boehringer Mannheim, Indianapolis, IN) in 1x shrimp alkaline phosphatase buffer. The reaction mixture was incubated at 37 °C for 45 min followed by enzyme inactivation at 95 °C for 15 min.

**Single base extension using biotin-ddNTPs.** The synthetic DNA templates containing six nucleotide variations in *p53* gene and the five primers for detecting these variations are shown in Table 1.

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These oligonucleotides and an internal mass standard (5'-TTTTTCTTTTCT-3' (SEQ ID NO: 5), MW = 3855 Da) for MALDI-TOF MS measurement were made using an Expedite nucleic acid synthesizer (Applied Biosystems, Foster City, CA). SBE reactions contained 20 pmol of primer, 10 pmol of biotin-11-ddATP, 20 pmol of biotin-11-ddGTP, 40 pmol of biotin-11-ddCTP (New England Nuclear Life Science, Boston, MA), 80 pmol of biotin-16-ddUTP (Enzo Diagnostics, Inc., Farmingdale, NY), 2  $\mu$ l Thermo Sequenase reaction buffer, 1 U Thermo Sequenase in its diluted buffer (Amersham Biosciences) and 20 pmol of either synthetic template or 10  $\mu$ l PCR product in a total reaction volume of 20  $\mu$ l. For SBE using synthetic template 1, 10 pmol of both wild type and mutated templates were combined with 20 pmol of primers 1 and 3 or 20 pmol of primers 2 and 4. The SBE reaction of primer 5 was performed with template 2 in a separate tube. PCR products from the *HFE* gene were mixed with 20 pmol of the corresponding primers 5'-GGGGAAGAGCAGAGATATACGT-3' (SEQ ID NO: 6) (C282Y) and 5'-GGGGCTCCACACGGCGACTCTC-AT-3' (SEQ ID NO: 7) (H63D) in SBE to detect the two heterozygous genotypes. All extension reactions were thermalcycled for 35 cycles at 94 °C for 10 s and 49 °C for 30 s.

**Solid phase purification.** 20  $\mu$ l of the streptavidin-coated magnetic beads (Seradyn, Ramsey, MN) were washed with modified binding and washing (B/W) buffer (0.5 mM Tris-HCl buffer, 2 M  $\text{NH}_4\text{Cl}$ , 1 mM EDTA, pH 7.0) and resuspended in 20  $\mu$ l modified B/W buffer. Extension reaction mixtures of primers 1-4 with template 1 and primer 5 with template 2 were mixed in



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## II. Detection of Single Nucleotide Polymorphism Using Biotinylated Dideoxynucleotides and Mass Spectrometry

5 Solid phase capturable biotinylated  
dideoxynucleotides (biotin-ddNTPs) were used in  
single base extension for multiplex genotyping by  
mass spectrometry (MS). In this method,  
oligonucleotide primers that have different molecular  
10 weights and that are specific to the polymorphic  
sites in the DNA template are extended with biotin-  
ddNTPs by DNA polymerase to generate 3'-biotinylated  
DNA extension products (Figure 1). These products  
are then captured by streptavidin-coated solid phase  
magnetic beads, while the unextended primers and  
15 other components in the reaction are washed away.  
The pure extension DNA products are subsequently  
released from the solid phase and analyzed with  
matrix-assisted laser desorption/ionization time-of-  
flight MS. The mass of the extension DNA products is  
20 determined using a stable oligonucleotide as a common  
internal mass standard. Since only the pure  
extension DNA products are introduced to MS for  
analysis, the resulting mass spectrum is free of non-  
extended primer peaks and their associated dimers,  
25 which increases the accuracy and scope of  
multiplexing in single nucleotide polymorphism (SNP)  
analysis. The solid phase purification approach also  
facilitates desalting of the captured  
oligonucleotides, which is essential for accurate  
30 mass measurement by MS.

Four biotin-ddNTPs with distinct molecular weights  
were selected to generate extension products that



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have a two-fold increase in mass difference compared to that with conventional ddNTPs. This increase in mass difference provides improved resolution and accuracy in detecting heterozygotes in the mass spectrum.

The "lock and key" functionality of biotin and streptavidin is often utilized in biological sample preparation as a way to remove undesired impurities (23). In different embodiments of the methods described herein, affinity systems other than biotin-streptavidin can be used. Such affinity systems include but are not limited to phenylboronic acid-salicylhydroxamic acid (31) and antigen-antibody systems.

The multiplex genotyping approach was validated by detecting six nucleotide variations from synthetic DNA templates that mimic mutations in exons 7 and 8 of the p53 gene. Sequences of the templates and the corresponding primers are shown in Table 1 along with the masses of the primers and their extension products. The mass increase of the resulting single base extension products in comparison with the primers is 665 Da for addition of biotin-ddCTP, 688 Da for addition of biotin-ddATP, 704 Da for addition of biotin-ddGTP and 754 Da for addition of biotin-ddUTP. The mass data in Table 1 indicate that the smallest mass difference among any possible extensions of a primer is 16 Da (between biotin-ddATP and biotin-ddGTP). This is a substantial increase over the smallest mass difference between extension products using standard ddNTPs (9 Da between ddATP

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and ddTTP). This mass increase yields improved resolution of the peaks in the mass spectrum. Increased mass difference in ddNTPs fosters accurate detection of heterozygous genotypes (15), since an  
5 A/T heterozygote with a mass difference of 9 Da using conventional ddNTPs can not be well resolved in the MALDI-TOF mass spectra. The five primers for each polymorphic site were designed to produce extension products without overlapping masses. Primers extended  
10 by biotin-ddNTPs were purified and analyzed by MALDI-TOF MS according to the scheme in Figure 1. Extension products of all five primers were well-resolved in the mass spectrum free from any unextended primers (Figure 2A), allowing each nucleotide variation to be  
15 unambiguously identified. Unextended primers occupy the mass range in the mass spectrum decreasing the scope of multiplexing, and excess primers can dimerize to form false peaks in the mass spectrum (21). The excess primers and their associated dimers  
20 also compete for the ion current, reducing the detection sensitivity of MS for the desired DNA fragments. These complications were completely removed by carrying out SBE using biotin-ddNTPs and solid phase capture. Extension products for all four  
25 biotin-ddNTPs were clearly detected with well resolved mass values. The relative masses of the primer extension products in comparison to the internal mass standard revealed the identity of each nucleotide at the polymorphic site. In the case of  
30 heterozygous genotypes, two peaks, one corresponding to each allele (C/A), are clearly distinguishable in the mass spectrum shown in Figure 2A.

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Table 1. Oligonucleotide primers and synthetic DNA templates for detecting mutations in the p53 gene. (Top) The sequences and the calculated masses of primers and the four possible single base extension products relative to the internal mass standard are listed. The bold numbers refer to the nucleotide variations detected in the p53 gene. (Bottom) The six nucleotide variations in template 1 and 2 are shown in bold letters. Template 1 contains a heterozygous genotype (G/T). Primers 1-5 = SEQ ID NOs: 8-12, respectively.

Primers	Primer sequences	Masses (Da)	Masses of single base extension products (Da)			
			Biotin-ddCTP <i>Δ665</i>	Biotin-ddATP <i>Δ688</i>	Biotin-ddGTP <i>Δ704</i>	Biotin-ddUTP <i>Δ754</i>
1	5'-AGAGGATCCAACCGAGAC-3'	1656	2321	2344	2360	2410
2	5'-TGGTGGTAGGTGATGTTGATGTA-3'	3350	4015	4038	4054	4103
3	5'-CACATTGTCAAGGACGTACCCG-3'	2833	3498	3521	3538	3587
4	5'-TACCCGCCGCTACTTGGCCTC-3'	2134	2799	2822	2838	2480
5	5'-TCCACGCACAAACACGGACAG-3'	2507	3172	3195	3211	3261

Templates	Template sequences
1	5'-TACCCG/TGAGGCCAAGTACGGCGGGTACGTCCTTGACAATGTGTACATCAACATCACCTACCACCATGT CAGTCTCGGTTGGATCCTCTATTGTGTCCGGG-3' (SEQ ID NO: 13)
2	5'-GAAGGAGACACGCGGCCAGAGAGGGTCTGTCCGTGTTGTGCGTGGAGTTTCGACAAGGCAGGGTCAT CTAATGGTGATGAGTCCTATCCTTTCTCTTCGTTCTCCGT-3' (SEQ ID NO: 14)

One advantage of MALDI-TOF MS in comparison to other detection techniques is its ability to simultaneously measure masses of DNA fragments over a certain range.

5

In order to explore this feature to detect multiple SNPs in a single spectrum, if unextended primers are not removed, masses of all primers and their extension products must have sufficient differences to yield adequately resolved peaks in the mass spectrum. Ross et al. simultaneously detected multiple SNPs by carefully tuning the masses of all primers and extension products so that they would lie in the range of 4.5 kDa and 7.6 kDa without overlapping (14). Since the unextended primers occupy the mass range in the mass spectrum, by eliminating them, the approach disclosed herein will significantly increase the scope of multiplexing in SNP analysis.

20

To demonstrate the ability of this method to discriminate SNPs in genomic DNA, two disease associated SNPs were genotyped in the human hereditary hemochromatosis (HHC) gene HFE. HHC is a common genetic condition in Caucasians with approximately 1/400 Caucasians homozygous for the C282Y mutation leading to iron overload and potentially liver failure, diabetes and depression (22). A subset of individuals who are compound heterozygotes for the C282Y and H63D mutations also manifest iron overload. Because of the high prevalence of these mutations and the ability to

30

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prevent disease manifestations by phlebotomy, accurate methods for genotyping these two SNPs will foster genetic screening for this condition. Two PCR products were generated from human genomic DNA for the C282Y and H63D polymorphic sites of the HFE gene and then used these products for SBE with biotin-ddNTPs. After the extension reaction, products were purified using solid phase capture according to the scheme in Figure 1 and analyzed by MALDI-TOF MS. The mass spectrum obtained from this experiment is shown in Figure 2B. Extension products of each primer were readily identified by their mass relative to the internal mass standard. Heterozygous genotypes of A/G and C/G with a mass difference of 16 Da and 39 Da respectively were accurately detected at the C282Y and H63D polymorphic sites.

These results indicate that the use of solid phase capturable biotin-ddNTPs in SBE, coupled with MALDI-TOF MS detection, provides a rapid and accurate method for multiplex SNP detection over broad mass ranges and should greatly increase the number of SNPs that can be detected simultaneously. In multiplex SBE reactions, the oligonucleotide primers and their dideoxynucleotide extension products differ by only one base pair, which requires analytical techniques with high resolution to resolve. In addition, a primer designed to detect one polymorphism and an extension product from another polymorphic site may have the same size, which can not be separated by electrophoresis and other conventional chromatographic or size exclusion methods. Methods for purifying DNA samples using the strong

-40-

interaction of biotin and streptavidin are widely used (23-27). By introducing the biotin moiety at the 3' end of DNA, the solid phase based affinity purification approach described here is a unique and effective method to remove the oligonucleotide primers from the dideoxynucleotide extension products.

To increase the stability of DNA fragments for MALDI-TOF MS measurement in multiplex SNP analysis, nucleotide analogues (28) and peptide nucleic acid (9) can be used in the construction of the oligonucleotide primers. It has been shown that MALDI-TOF MS could detect DNA fragments up to 100 bp with sufficient resolution (29). The mass difference between each adjacent DNA fragment is approximately 300 Da. Thus, with a mass difference of 100 Da for each primer in designing a multiplex SNP analysis project, at least 300 SNPs can be analyzed in a single spot of the sample plate by MS. It is a routine method now to place 384 spots in each sample plate in MS analysis. Thus, each plate can produce over 100,000 SNPs, which is roughly the entire SNPs in all the coding regions of the human genome. This level of multiplexing should be achievable by mass tagging the primers with stable chemical groups in SBE using biotin-ddNTPs. For SNP sites of interest, a master database of primers and the resulting masses of all four possible extension products can be constructed. The experimental data from MALDI-TOF MS can then be compared with this database to precisely identify the library of SNPs automatically. This method coupled with future improvements in mass

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spectrometer detector sensitivity (30) will provide a platform for high-throughput SNP identification unrivaled in speed and accuracy.

5

### III. Design and Synthesis of Biotinylated dideoxynucleotides with Mass Tags

The ability to distinguish various bases in DNA using mass spectrometry is dependent on the mass differences of the bases in the spectra. For the above work, the smallest difference in mass between any two nucleotides is 16 daltons (see Table 1). Fei et al. (15) have shown that using dye-labeled ddNTP paired with a regular dNTP to space out the mass difference, an increase in the detection resolution in a single nucleotide extension assay can be achieved. To enhance the ability to distinguish peaks in the spectra, the current application discloses systematic modification of the biotinylated dideoxynucleotides by incorporating mass linkers assembled using 4-aminomethyl benzoic acid derivatives to increase the mass separation of the individual bases. The mass linkers can be modified by incorporating one or two fluorine atoms to further space out the mass differences between the nucleotides. The structures of four biotinylated ddNTPs are shown in Figure 3. ddCTP-11-biotin is commercially available (New England Nuclear, Boston). ddTTP-Linker I-11-Biotin, ddATP-Linker II-11-Biotin and ddGTP-Linker III-11-Biotin are synthesized as shown, for example, for ddATP-Linker II-11-Biotin in Figure 5. In designing these mass tag linker

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modified biotinylated ddNTPs, the linkers are attached to the 5-position on the pyrimidine bases (C and T), and to the 7-position on the purines (A and G) for subsequent conjugation with biotin. It has been established that modification of these positions on the bases in the nucleotides, even with bulky energy transfer fluorescent dyes, still allows efficient incorporation of the modified nucleotides into the DNA strand by DNA polymerase (32, 33). Thus, the ddNTPs-Linker-11-biotin can be incorporated into the growing strand by the polymerase in DNA sequencing reactions.

Larger mass separations will greatly aid in longer read lengths where signal intensity is smaller and resolution is lower. The smallest mass difference between two individual bases is over three times as great in the mass tagged biotinylated ddNTPs compared to normal ddNTPs and more than double that achieved by the standard biotinylated ddNTPs as shown in Table 2.

Table 2. Relative mass differences (daltons) of dideoxynucleotides using ddCTP as a reference.

Base	Standard ddNTP	Commercial Biotinylated ddNTP	Biotinylated ddNTP with mass tag linker
C relative to C	0	0	0 (no linker)
T relative to C	15	89 (16 linker)	125 (Linker I)
A relative to C	24	24	165 (Linker II)
G relative to C	40	40	200 (Linker III)
Smallest relative difference	9	16	35



Three 4-aminomethyl benzoic acid derivatives **Linker I**, **Linker II** and **Linker III** are designed as mass tags as well as linkers for bridging biotin to the corresponding dideoxynucleotides. The synthesis of **Linker II** (Figure 4) is described here to illustrate the synthetic procedure. 3-Fluoro-4-aminomethyl benzoic acid that can be easily prepared via published procedures (41, 42) is first protected with trifluoroacetic anhydride, then converted to N-hydroxysuccinimide (NHS) ester with disuccinimidylcarbonate in the presence of diisopropylethylamine. The resulting NHS ester is subsequently coupled with commercially available propargylamine to form the desired compound, **Linker II**. Using an analogous procedure, **Linker I** and **Linker III** can be easily constructed.

Figure 5 describes the scheme required to prepare biotinylated ddATP-**Linker II**-11-Biotin using well-established procedures (34-36). 7-I-ddA is coupled with **linker II** in the presence of tetrakis(triphenylphosphine) palladium(0) to produce 7-**Linker II**-ddA, which is phosphorylated with POCl<sub>3</sub> in butylammonium pyrophosphate (37). After removing the trifluoroacetyl group with ammonium hydroxide, 7-**Linker II**-ddATP is produced, which then couples with sulfo-NHS-LC-Biotin (Pierce, Rockford IL) to yield the desired ddATP-**Linker II**-11-Biotin. Similarly, ddTTP-**Linker I**-11-Biotin, and ddGTP-**Linker III**-11-Biotin can be synthesized.

#### IV. Design and Synthesis of Mass Tagged ddNTPs Containing Photocleavable Biotin

A schematic of capture and cleavage of the  
5 photocleavable linker on the streptavidin coated  
porous surface is shown in Figure 6. At the end of  
the reaction, the reaction mixture consists of excess  
primers, enzymes, salts, false stops, and the desired  
DNA fragment. This reaction mixture is passed over a  
10 streptavidin-coated surface and allowed to incubate.  
The biotinylated fragments are captured by the  
streptavidin surface, while everything else in the  
mixture is washed away. Then the fragments are  
released into solution by cleaving the photocleavable  
15 linker with near ultraviolet (UV) light, while the  
biotin remains attached to the streptavidin that is  
covalently bound to the surface. The pure DNA  
fragments can then be crystallized in matrix solution  
and analyzed by mass spectrometry. It is  
20 advantageous to cleave the biotin moiety since it  
contains sulfur which has several relatively abundant  
isotopes. The rest of the DNA fragments and linkers  
contain only carbon, nitrogen, hydrogen, oxygen,  
fluorine and phosphorous, whose dominant isotopes are  
25 found with a relative abundance of 99% to 100%. This  
allows high resolution mass spectra to be obtained.  
The photocleavage mechanism (38, 39) is shown in  
Figure 7. Upon irradiation with ultraviolet light at  
300-350 nm, the light sensitive o-nitroaromatic  
30 carbonamide functionality on DNA fragment 1 is  
cleaved, producing DNA fragment 2, PC-biotin and  
carbon dioxide. The partial chemical linker remaining

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on DNA fragment 2 is stable for detection by mass spectrometry.

Four new biotinylated ddNTPs disclosed here, ddCTP-PC-Biotin, ddTTP-Linker I-PC-Biotin, ddATP-Linker II-PC-Biotin and ddGTP-Linker III-PC-Biotin are shown in Figure 8. These compounds are synthesized by a similar chemistry as shown for the synthesis of ddATP-Linker II-11-Biotin in Figure 6. The only difference is that in the final coupling step NHS-PC-LC-Biotin (Pierce, Rockford IL) is used, as shown in Figure 9. The photocleavable linkers disclosed here allow the use of solid phase capturable terminators and mass spectrometry to be turned into a high throughput technique for DNA analysis.

#### V. Overview of capturing a DNA fragment terminated with a ddNTP on a surface and freeing the ddNTP and DNA fragment

The DNA fragment is terminated with a dideoxynucleoside monophosphate (ddNMP). The ddNMP is attached via a linker to a chemical moiety ("X" in Figure 10). The DNA fragment terminated with ddNMP is captured on the surface through interaction between chemical moiety "X" and a compound on or attached to the surface ("Y" in Figure 10). The present application discloses two methods for freeing the captured DNA fragment terminated with ddNMP. In the situation illustrated in the lower part of Figure 10, the DNA fragment terminated with ddNMP is freed from the surface by disrupting or breaking the interaction between chemical moiety "X" and compound

-46-

5 "Y". In the upper part of Figure 10, the DNA fragment terminated with ddNMP is attached to chemical moiety "X" via a cleavable linker which can be cleaved to free the DNA fragment terminated with ddNMP.

10 Different moieties and compounds can be used for the "X" - "Y" affinity system, which include but are not limited to, biotin-streptavidin, phenylboronic acid-salicylhydroxamic acid (31), and antigen-antibody systems.

15 In different embodiments, the cleavable linker can be cleaved and the "X" - "Y" interaction can be disrupted by a means selected from the group consisting of one or more of a physical means, a chemical means, a physical chemical means, heat, and light. In one embodiment, ultraviolet light can be used to cleave the cleavable linker. Chemical means  
20 include, but are not limited to, ammonium hydroxide (40), formamide, or a change in pH ( $-\log H^+$  concentration) of the solution.

25 **VI. High density streptavidin-coated, porous silica channel system.**

Streptavidin coated magnetic beads are not ideal for using the photocleavable biotin capture and release process for DNA fragments, since they are not  
30 transparent to UV light. Therefore, the photocleavage reaction is not efficient. For efficient capture of the biotinylated fragments, a high-density surface coated with streptavidin is essential. It is known

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that the commercially available 96-well streptavidin coated plates cannot provide a sufficient surface area for efficient capture of the biotinylated DNA fragments. Disclosed in this application is a porous silica channel system designed to overcome this limitation.

To increase the surface area available for solid phase capture, porous channels are coated with a high density of streptavidin. For example, ninety-six (96) porous silica glass channels can be etched into a silica chip (Figure 11). The surfaces of the channels are modified to contain streptavidin as shown in Figure 12. The channel is first treated with 0.5 M NaOH, washed with water, and then briefly pre-etched with dilute hydrogen fluoride. Upon cleaning with water, the capillary channel is coated with high density 3-aminopropyltrimethoxysilane in aqueous ethanol (43). An excess of disuccinimidyl glutarate in N,N-dimethylformamide (DMF) is then introduced into the capillary to ensure a highly efficient conversion of the surface end group to a succinimidyl ester. Streptavidin is then conjugated with the succinimidyl ester to form a high-density surface using excess streptavidin solution. The resulting 96-channel chip is used as a purification cassette.

A 96-well plate that can be used with biotinylated terminators for DNA analysis is shown in Figure 11. In the example shown, each end of a channel is connected to a single well. However, for other applications, the end of a channel could be connected to a plurality of wells. Pressure is applied to

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drive the samples through a glass capillary into the channels on the chip. Inside the channels the biotin is captured by the covalently bound streptavidin. After passing through the channel, the sample enters  
5 into a clean plate in the other end of the chip. Pressure applied in reverse drives the sample through the channel multiple times and ensures a highly efficient solid phase capture. Water is similarly added to drive out the reaction mixture and  
10 thoroughly wash the captured fragments. After washing, the chip is irradiated with ultraviolet light to cleave the photosensitive linker and release the DNA fragments. The fragment solution is then driven out of the channel and into a collection  
15 plate. After matrix solution is added, the samples are spotted on a chip and allowed to crystallize for detection by MALDI-TOF mass spectrometry. The purification cassette is cleaned by chemically cleaving the biotin-streptavidin linkage, and is then  
20 washed and reused.

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-55-

What is claimed is:

1. A method for determining the identity of a nucleotide present at a predetermined site in a DNA whose sequence immediately 3' of such predetermined site is known which comprises:
  - (a) treating the DNA with an oligonucleotide primer whose sequence is complementary to such known sequence so that the oligonucleotide primer hybridizes to the DNA and forms a complex in which the 3' end of the oligonucleotide primer is located immediately adjacent to the predetermined site in the DNA;
  - (b) simultaneously contacting the complex from step (a) with four different labeled dideoxynucleotides, in the presence of a polymerase under conditions permitting a labeled dideoxynucleotide to be added to the 3' end of the primer so as to generate a labeled single base extended primer, wherein each of the four different labeled dideoxynucleotides (i) is complementary to one of the four nucleotides present in the DNA and (ii) has a molecular weight which can be distinguished from the molecular weight of the other three labeled dideoxynucleotides using mass spectrometry; and
  - (c) determining the difference in molecular weight between the labeled single base extended primer and the oligonucleotide primer so as to identify the

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5 dideoxynucleotide incorporated into the  
single base extended primer and thereby  
determine the identity of the nucleotide  
present at the predetermined site in the  
DNA.

2. The method of claim 1, wherein each of the four  
labeled dideoxynucleotides comprises a chemical  
moiety attached to the dideoxynucleotide by a  
10 different linker which has a molecular weight  
different from that of each other linker.
3. The method of claim 1 which further comprises  
after step (b) the steps of:  
15 (i) contacting the labeled single base extended  
primer with a surface coated with a  
compound that specifically interacts with a  
chemical moiety attached to the  
dideoxynucleotide by a linker so as to  
20 thereby capture the extended primer on the  
surface; and  
(ii) treating the labeled single base extended  
primer so as to release it from the  
surface.  
25
4. The method of claim 3 which further comprises  
after step (i) the step of treating the surface  
to remove primers that have not been extended by  
a labeled dideoxynucleotide.  
30
5. The method of claim 1, wherein step (c)  
comprises determining the difference in mass  
between the labeled single base extended primer

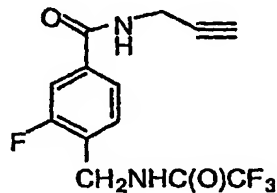
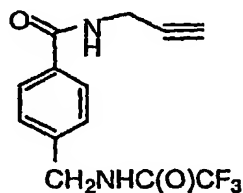
-57-

and an internal mass calibration standard added to the extended primer.

- 5           6.   The method of claim 3, wherein the interaction  
            between the chemical moiety attached to the  
            dideoxynucleotide by the linker and the compound  
            on the surface comprises a biotin-streptavidin  
            interaction, a phenylboronic acid-  
            salicylhydroxamic acid interaction, or an  
10           antigen-antibody interaction.
7.   The method of claim 3, wherein the step of  
            releasing the labeled single base extended  
15           primer from the surface comprises disrupting the  
            interaction between the chemical moiety attached  
            to the dideoxynucleotide by the linker and the  
            compound on the surface.
8.   The method of claim 7, wherein the interaction  
20           is disrupted by a means selected from the group  
            consisting of one or more of a physical means, a  
            chemical means, a physical chemical means, heat,  
            and light.
9.   The method of claim 2, wherein the linker is  
25           attached to the dideoxynucleotide at the 5-  
            position of cytosine or thymine or at the 7-  
            position of adenine or guanine.
- 30           10.   The method of claim 3, wherein the step of  
            releasing the labeled single base extended  
            primer from the surface comprises cleaving the  
            linker between the chemical moiety and the

dideoxynucleotide.

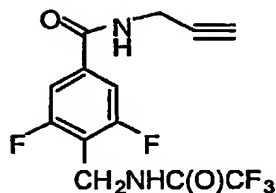
11. The method of claim 10, where the linker is  
cleaved by a means selected from the group  
consisting of one or more of a physical means, a  
chemical means, a physical chemical means, heat,  
and light.
12. The method of claim 11, wherein the linker is  
cleaved by light.
13. The method of claim 2, wherein the linker  
comprises a derivative of 4-aminomethyl benzoic  
acid, a 2-nitrobenzyl group, or a derivative of  
a 2-nitrobenzyl group.
14. The method of claim 13, wherein the linker  
comprises one or more fluorine atoms.
15. The method of claim 14, wherein the linker is  
selected from the group consisting of:





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and

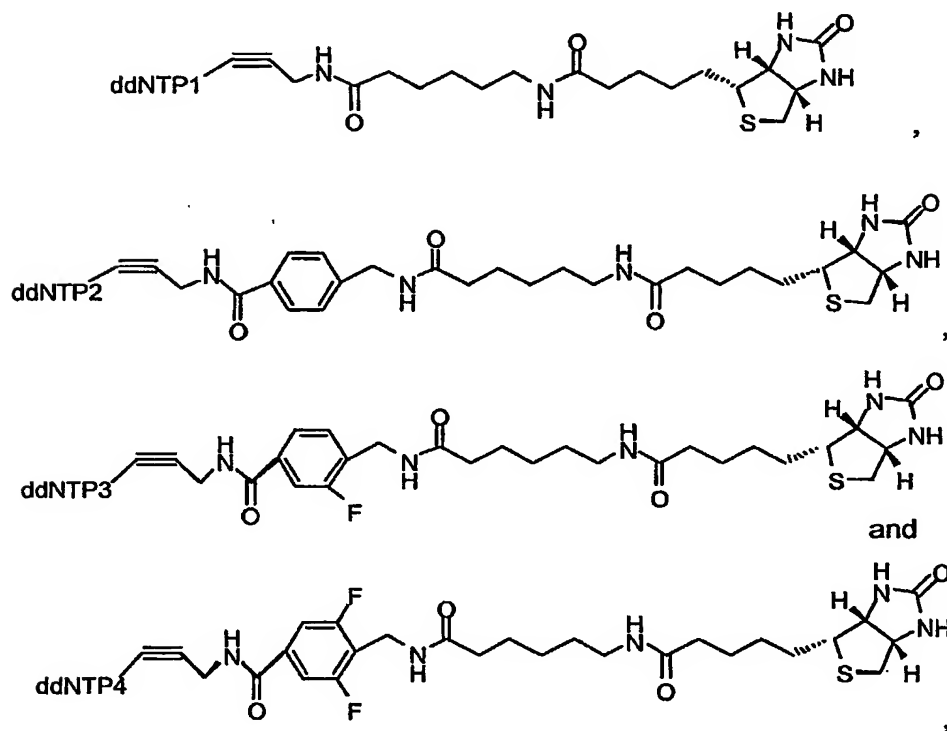


16. The method of claim 3, wherein the chemical  
5 moiety comprises biotin, the labeled  
dideoxynucleotide is a biotinylated  
dideoxynucleotide, the labeled single base  
extended primer is a biotinylated single base  
extended primer, and the surface is a  
10 streptavidin-coated solid surface.
17. The method of claim 16, wherein the biotinylated  
dideoxynucleotide is selected from the group  
consisting of ddATP-11-biotin, ddCTP-11-biotin,  
15 ddGTP-11-biotin, and ddTTP-16-biotin.

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18. The method of claim 16, wherein the biotinylated dideoxynucleotide is selected from the group consisting of:

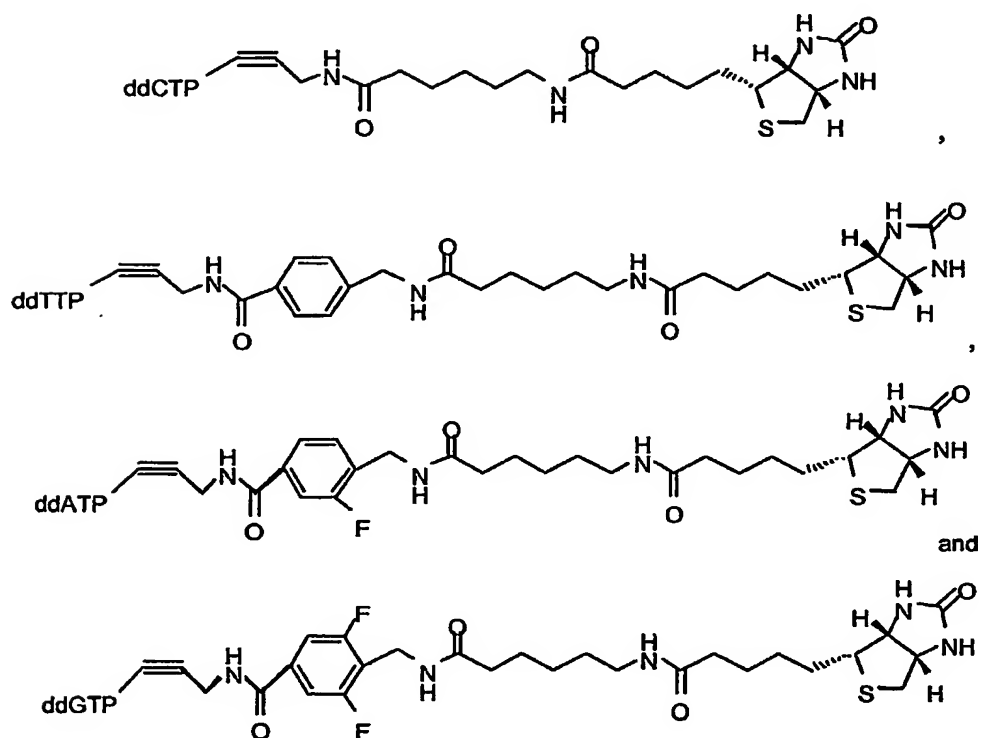
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wherein ddNTP1, ddNTP2, ddNTP3, and ddNTP4 represent four different dideoxynucleotides.

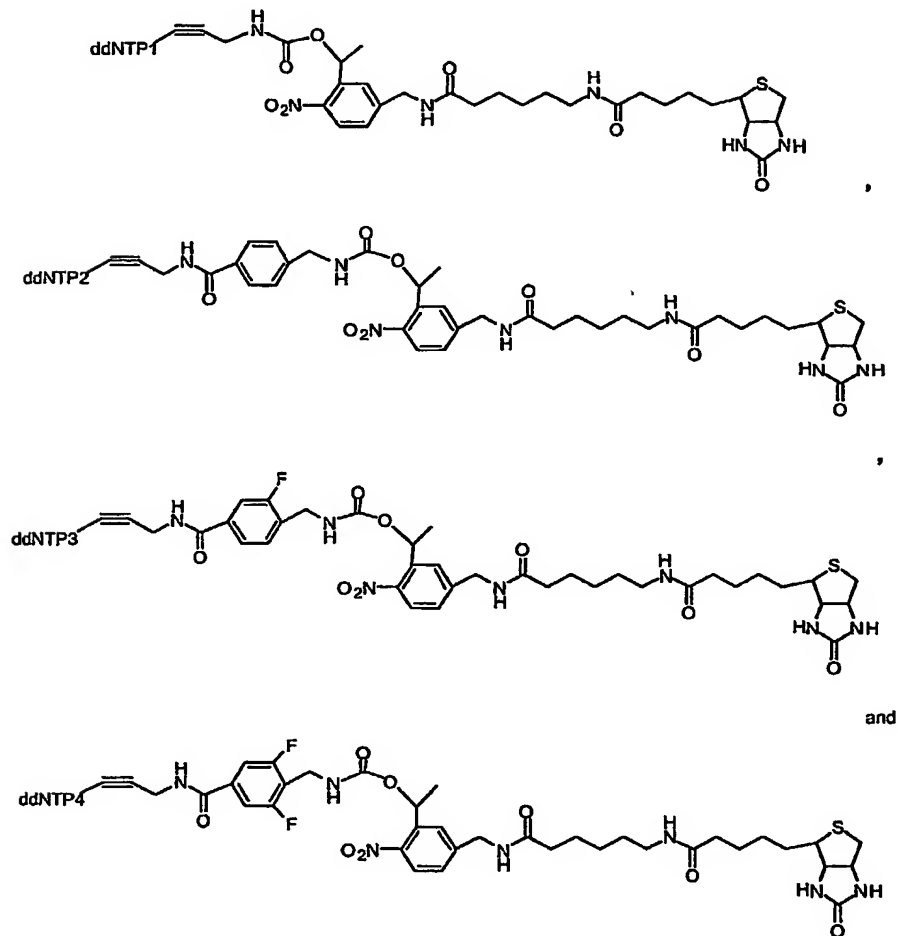
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19. The method of claim 18, wherein the biotinylated dideoxynucleotide is selected from the group consisting of:



20. The method of claim 16, wherein the biotinylated dideoxynucleotide is selected from the group consisting of:

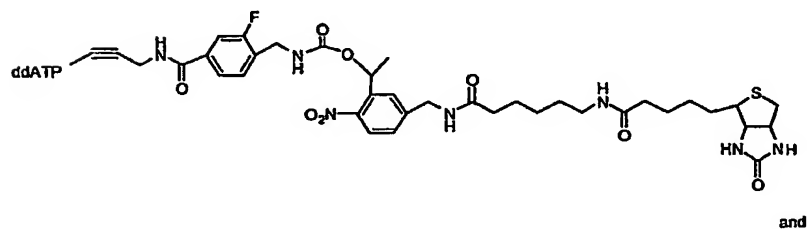
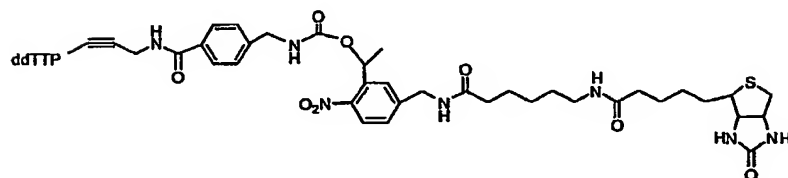
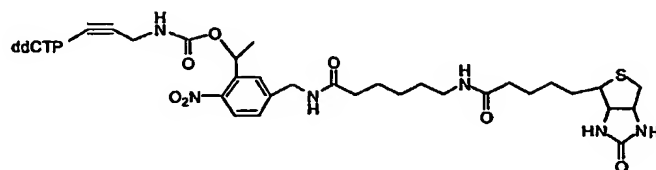
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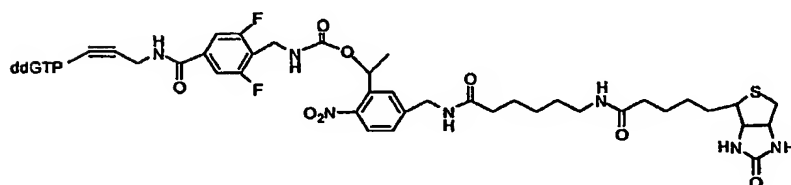
wherein ddNTP1, ddNTP2, ddNTP3, and ddNTP4 represent four different dideoxynucleotides.

21. The method of claim 20, wherein the biotinylated dideoxynucleotide is selected from the group consisting of:

5



and



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22. The method of claim 16, wherein the streptavidin-coated solid surface is a streptavidin-coated magnetic bead or a streptavidin-coated silica glass.
- 5
23. The method of claim 1, wherein steps (a) and (b) are performed in a single container or in a plurality of connected containers.
- 10
24. A method for determining the identity of nucleotides present at a plurality of predetermined sites, which comprises carrying out the method of claim 3 using a plurality of different primers each having a molecular weight
- 15
- different from that of each other primer, wherein a different primer hybridizes adjacent to a different predetermined site.
- 20
25. The method of claim 24, wherein different linkers each having a molecular weight different from that of each other linker are attached to the different dideoxynucleotides to increase mass separation between different labeled single base extended primers and thereby increase mass
- 25
- spectrometry resolution.

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MULTIPLEX GENOTYPING USING SOLID PHASE CAPTURABLE  
DIDEOXYNUCLEOTIDES AND MASS SPECTROMETRY

Abstract of the Disclosure

5

This invention provides methods for detecting single nucleotide polymorphisms and multiplex genotyping using dideoxynucleotides and mass spectrometry.

10

11/14/2002 10:11:22

Applicant or Patentee: Jingyue Ju  
Serial or Patent No.: Not Yet Known Attorney's  
Filed or Issued: Herewith Docket No: 0575/66833  
Title of Invention or Patent: MULTIPLEX GENOTYPING USING SOLID PHASE CAPTURABLE  
DIDEOXYNUCLEOTIDES AND MASS SPECTROMETRY

VERIFIED STATEMENT (DECLARATION) CLAIMING  
SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f)  
AND §1.27(d) - NONPROFIT ORGANIZATION

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Address of Organization: 110 Low Memorial Library, West 116th & Broadway  
New York, New York 10027

TYPE OF ORGANIZATION:

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 U.S.C. §§501(a) and 501(c)(3)  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
NAME OF STATE: \_\_\_\_\_  
CITATION OF STATUTE: \_\_\_\_\_  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 U.S.C. §§501(a) and 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
NAME OF STATE: \_\_\_\_\_  
CITATION OF STATUTE: \_\_\_\_\_

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e)\* for purposes of paying reduced fees under 35 U.S.C. §41(a) and 41(b), with regard to the invention entitled

by inventor(s) Jingyue Ju et al.

described in:

☒ the specification filed herewith  
application serial no. \_\_\_\_\_ filed \_\_\_\_\_  
patent no. \_\_\_\_\_ issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive each individual, concern, or organization known to have rights to the invention is listed below<sup>a</sup> and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d)\* or a nonprofit organization under 37 C.F.R. 1.9(e)\*

<sup>a</sup> NOTE: Separate verified statements are required from each person, concern, or organization having rights to the invention averring to their status as small entities. 37 C.F.R. §1.27.

Name: N/A  
Address: \_\_\_\_\_



Applicants: Jingyue Ju  
 U.S. Serial No.: Not Yet Known  
 Filed: Herewith  
 Small Entity/ Nonprofit  
 Page 2

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. §1.28(b)\*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Michael J. Cleare  
 Title In Organization: Executive Director, Columbia Innovation Enterprise  
 Address: Columbia University, Engineering Terrace - Suite 363  
500 West 120th St. & Amsterdam, New York, New York 10027  
 Signature: *Michael J. Cleare*  
 Date Of Signature: 4/3/02

1/12

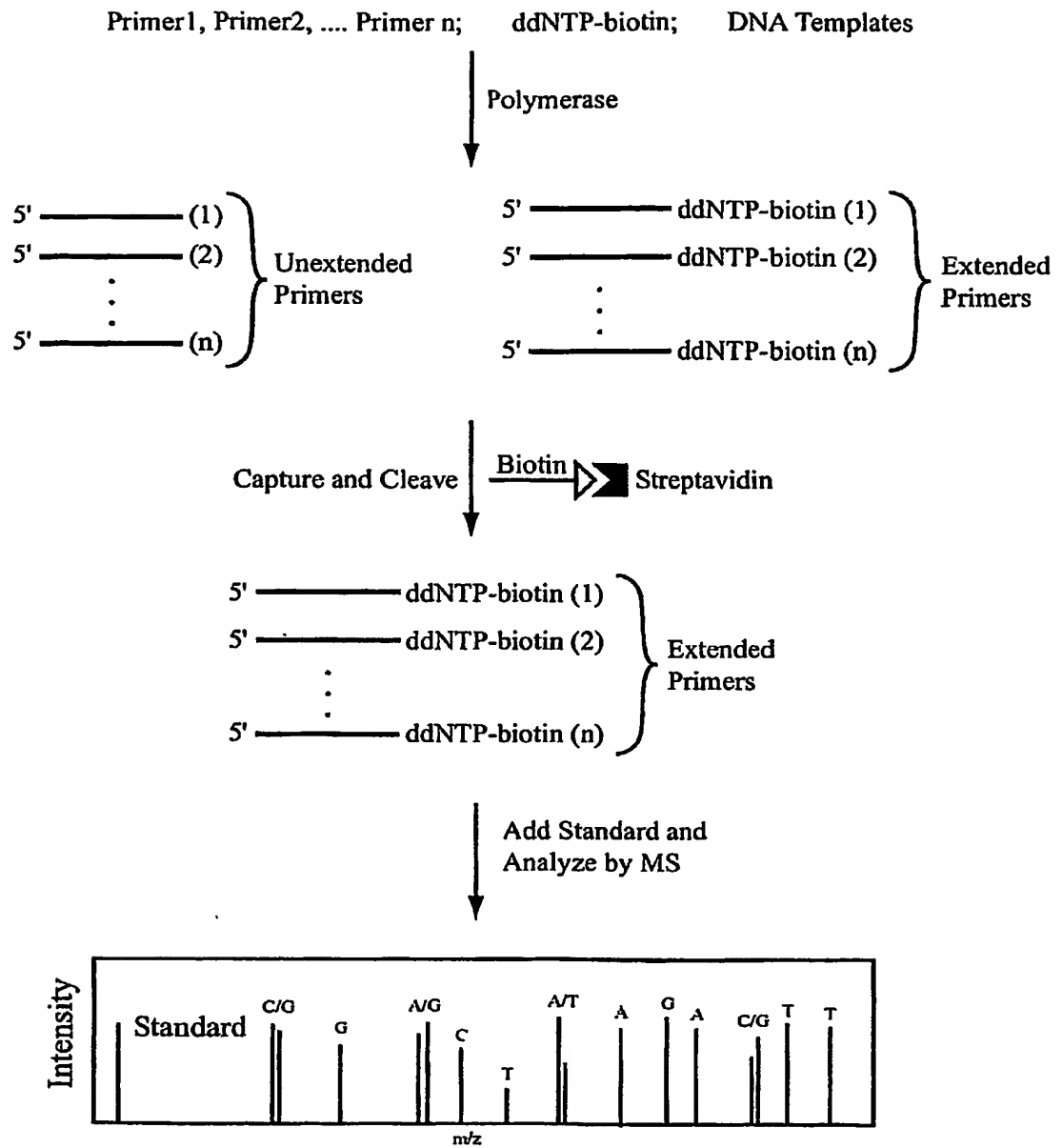


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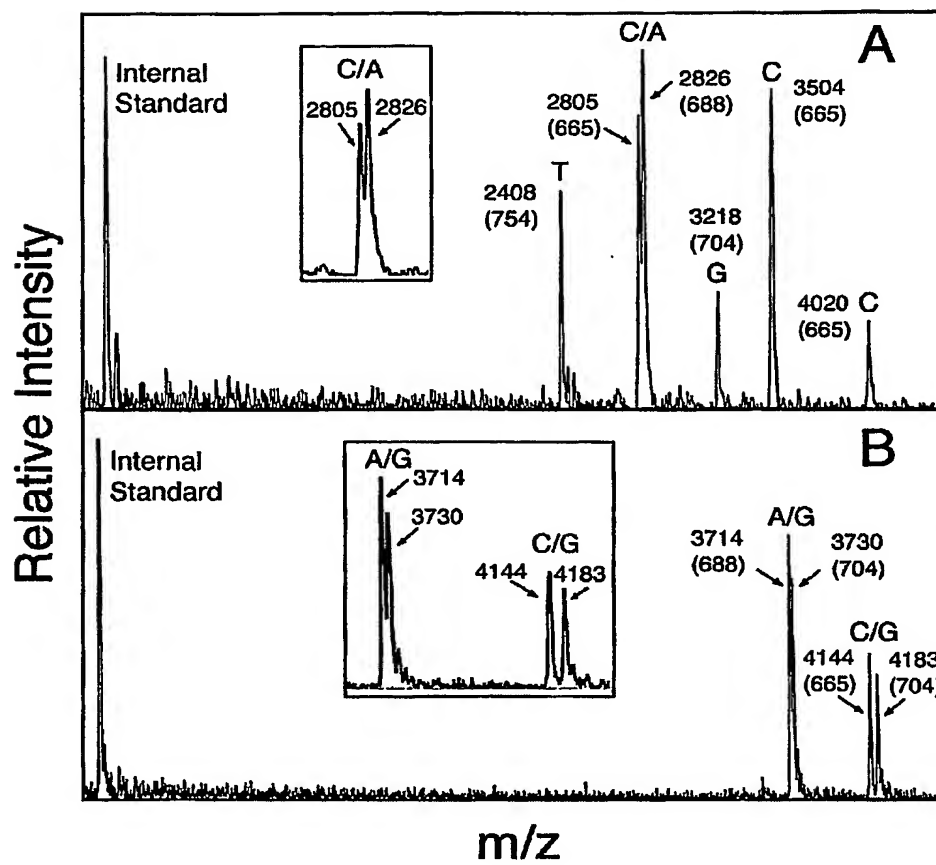


Figure 2

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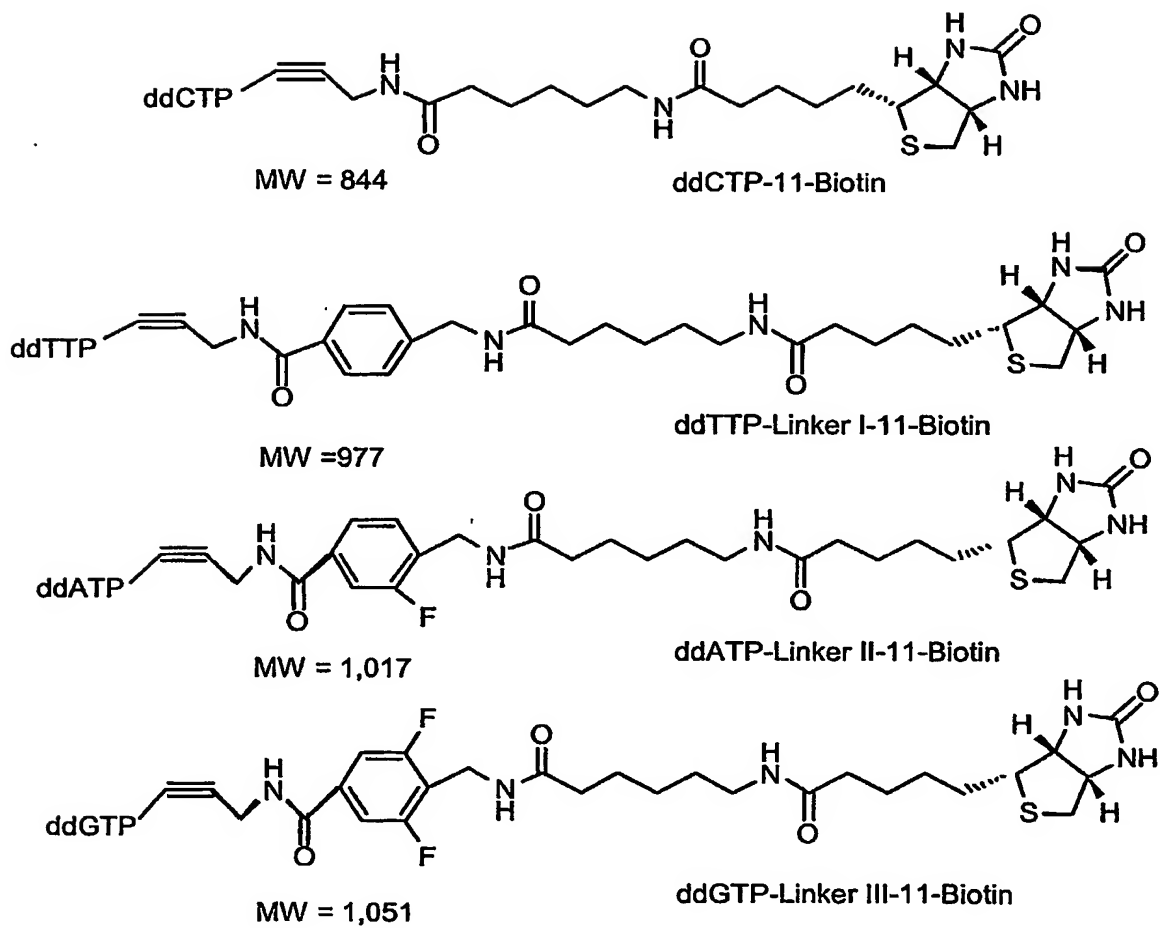
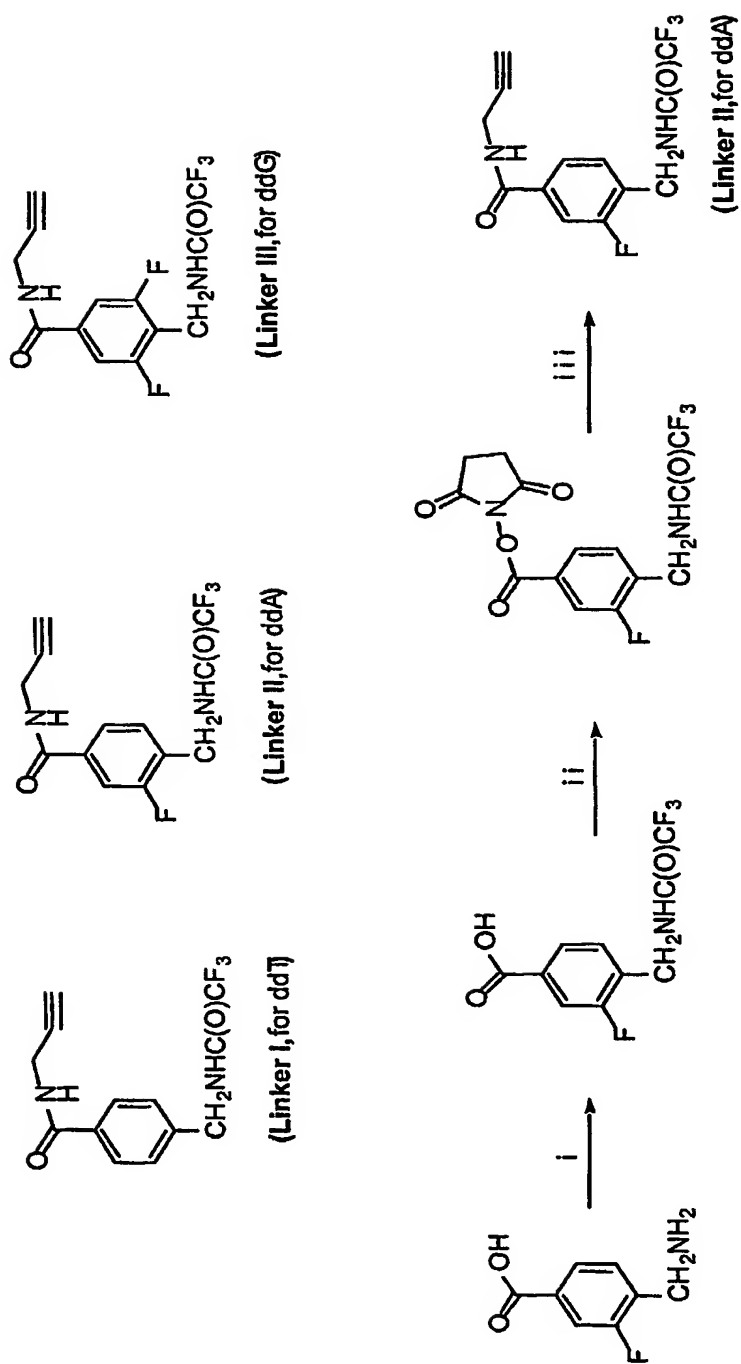
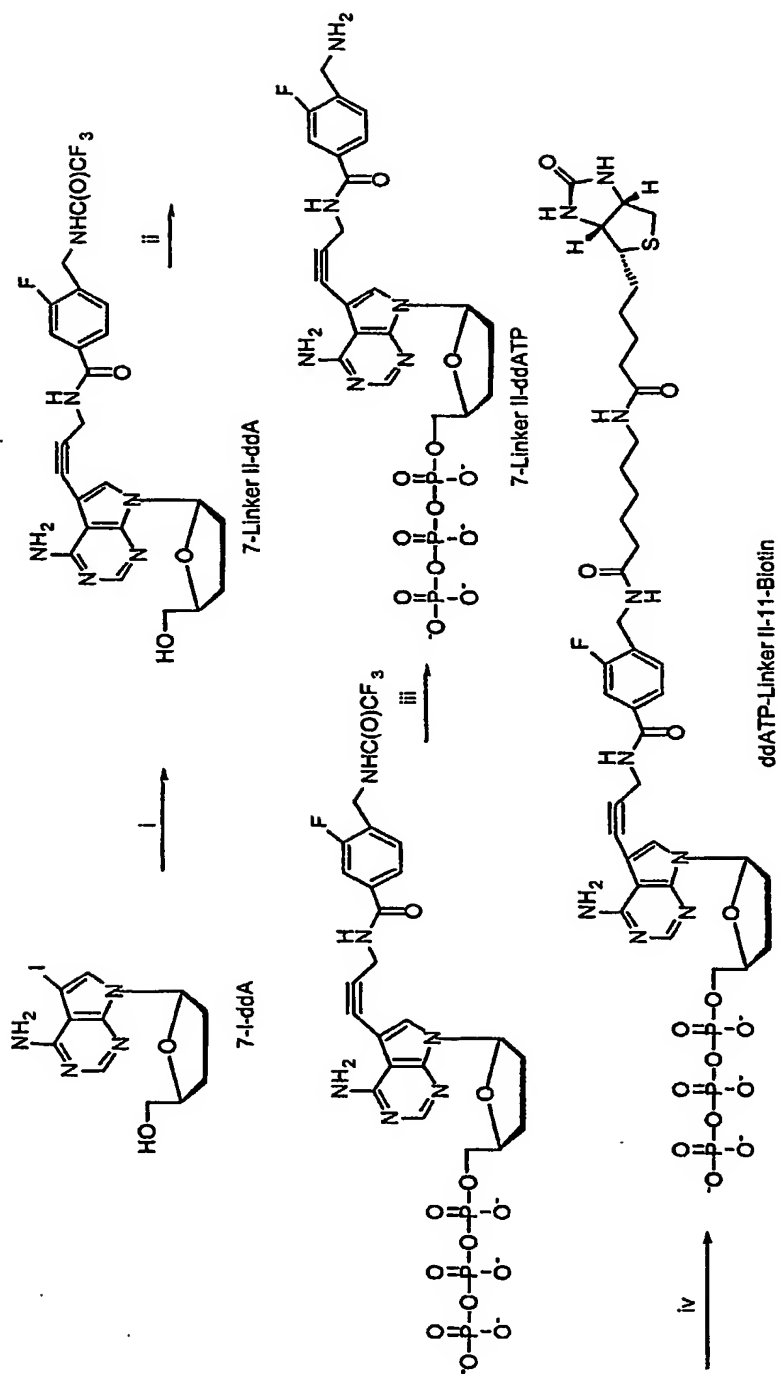


Figure 3



## Figure 4



**Figure 5**

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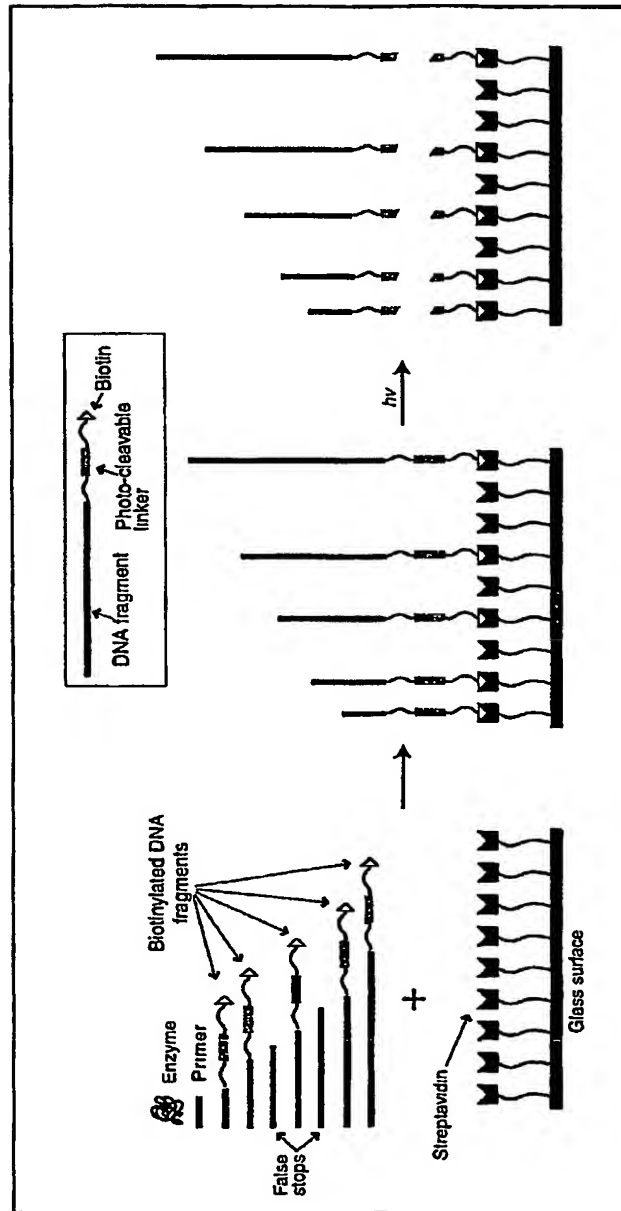


Figure 6

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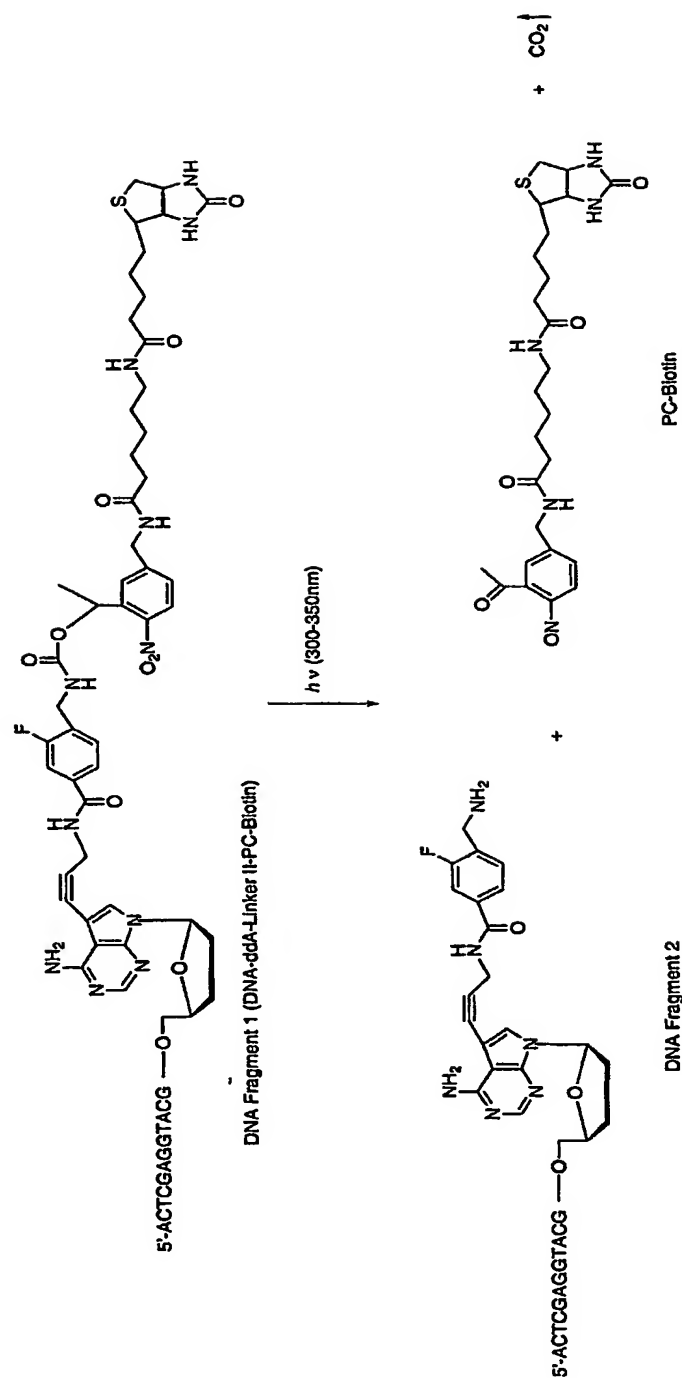


Figure 7



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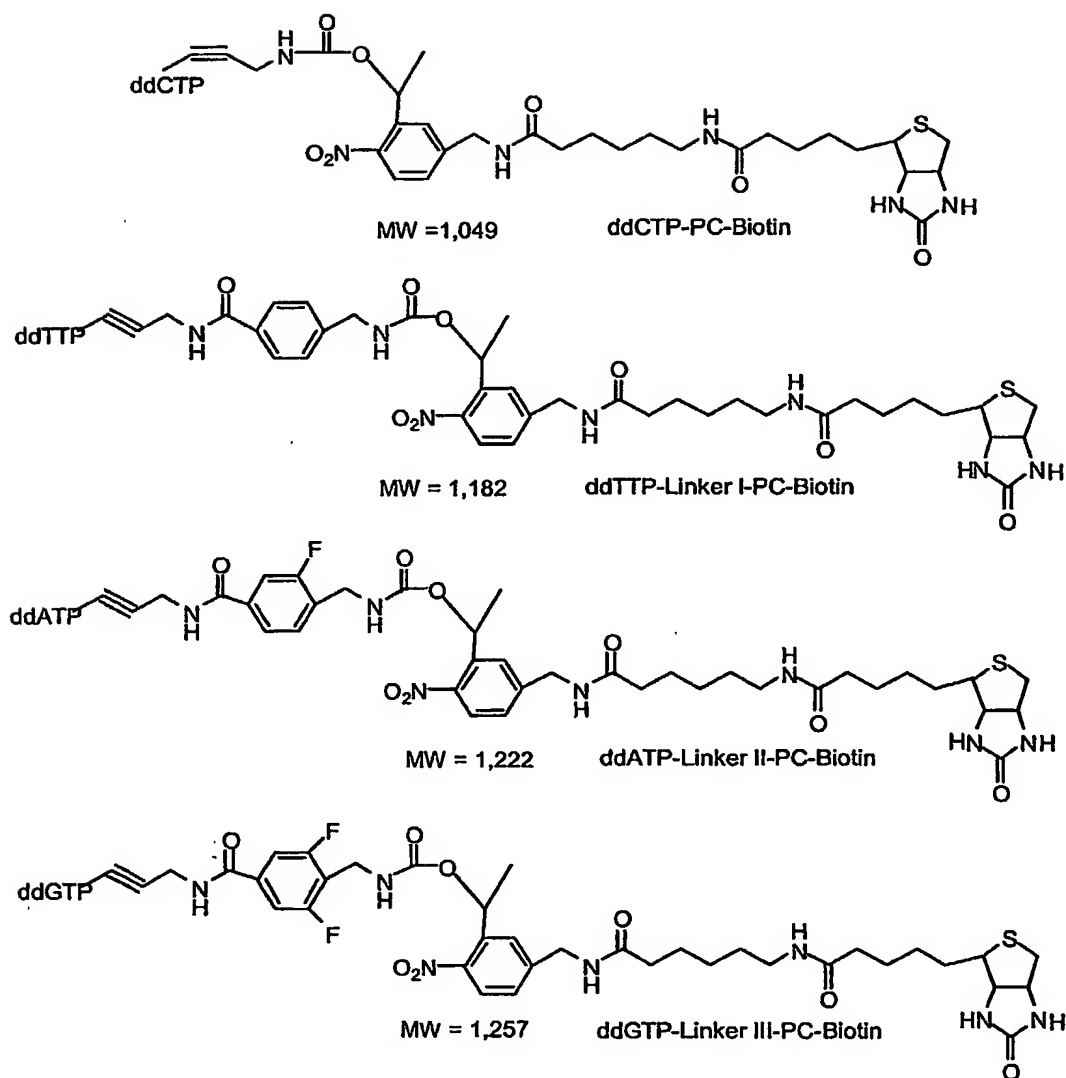


Figure 8

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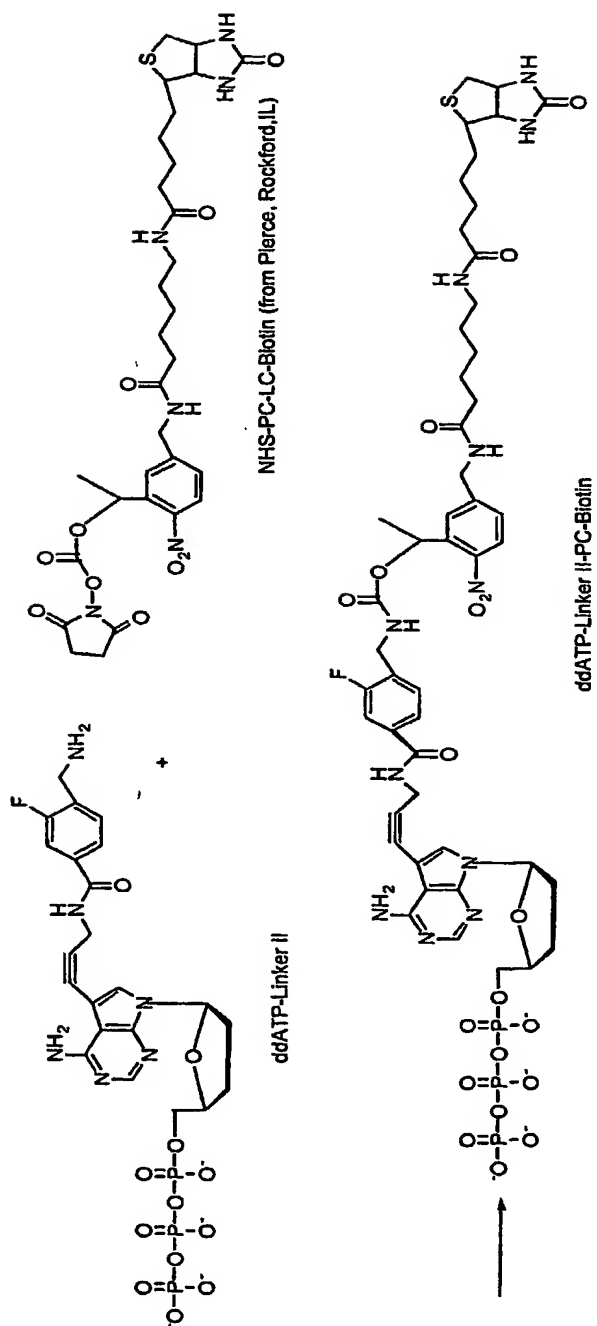


Figure 9

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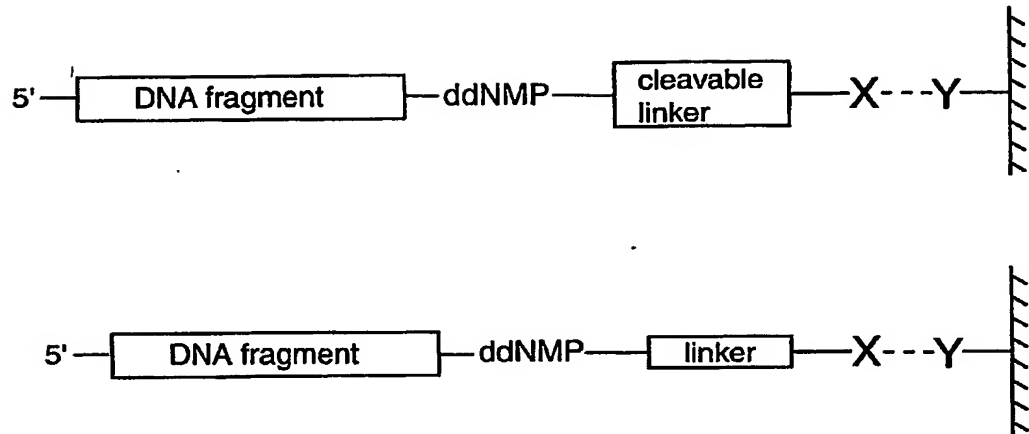


Figure 10

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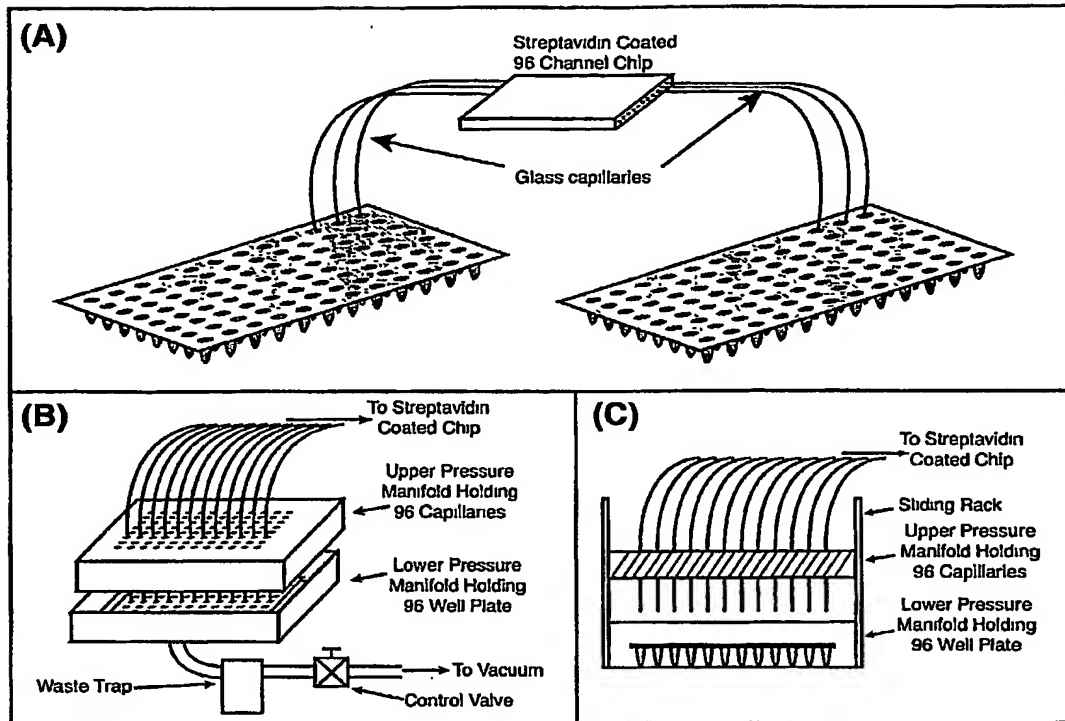


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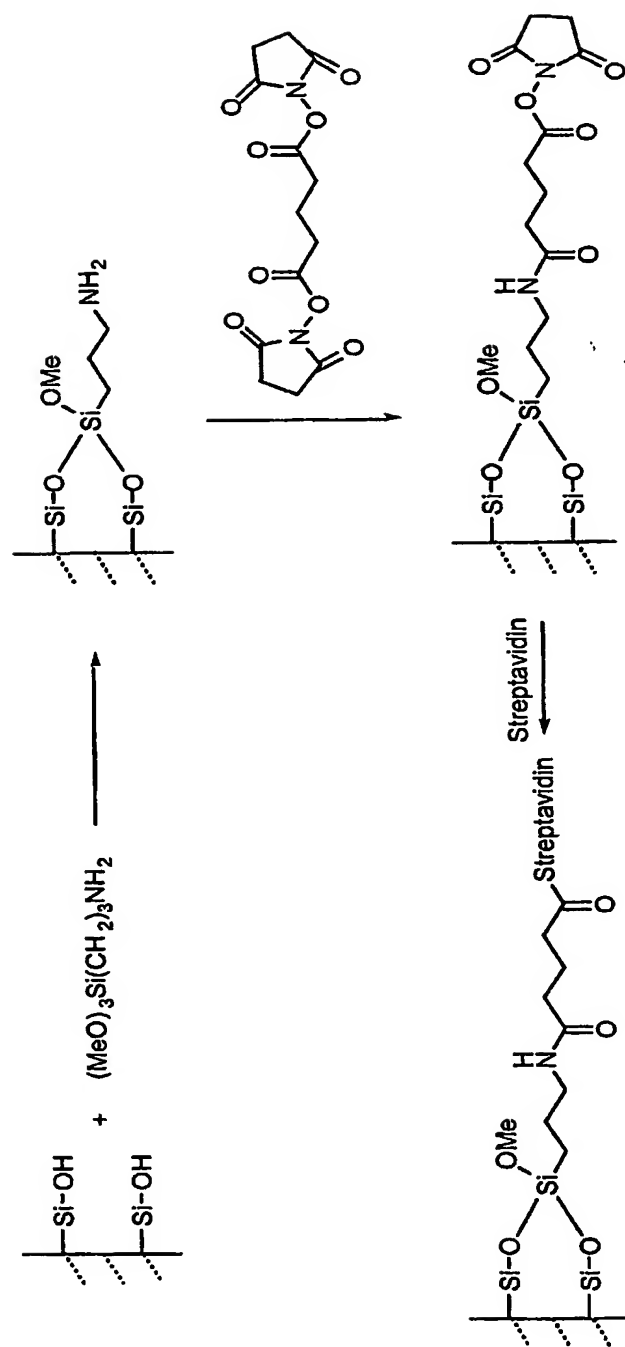


Figure 12

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